

Rank 3 Groups and Biplanes

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1. INTRODUCTION

Let G be a primitive rank 3 permutation group on a set X in which $\Gamma(x)$ is a nontrivial G_x -orbit, with $n = |X|$, $v = |\Gamma(x)|$. Tsuzuku [27] showed that, if G_x acts as the symmetric group on $\Gamma(x)$, then $(v, n) = (2, 5), (3, 10), (5, 16)$, or $(7, 50)$; he determined the possible groups in each case. Bannai [2] obtained essentially the same result under the assumption G_x is 4-transitive on $\Gamma(x)$. (Of course the cases $(2, 5)$ and $(3, 10)$ do not then arise.) Cameron [6, 7] showed that, if G_x is 3-transitive on $\Gamma(x)$, and if $(v, n) \neq (3, 10)$ or $(7, 50)$, then either

- (a) $n = \frac{1}{2}(v^2 + v + 2)$, or
- (b) $v = (s + 1)(s^2 + 5s + 5)$, $n = (s + 1)^2(s + 4)^2$, for some non-negative integer s .

In case (b), the known examples have $s = 0$, $v = 5$, $n = 16$ ($G = V_{16} \cdot S_5$ or $V_{16} \cdot A_5$) and $s = 1$, $v = 22$, $n = 100$ ($G = HS$ or $HS \cdot Z_2$). Non-existence has been shown for a variety of values of s , including $2 \leq s \leq 103$, but the question is not yet settled. In this paper we will determine all groups that occur under case (a).

THEOREM 1. *Let G be a primitive rank 3 permutation group of degree $n = \frac{1}{2}(v^2 + v + 2)$ with subdegrees $1, v, \frac{1}{2}v(v - 1)$. Suppose that the constituent of G_x of degree v is 3-transitive. Then either*

- (i) $v = 5$, $n = 16$, $G = V_{16} \cdot S_5$, or $V_{16} \cdot A_5$; or
- (ii) $v = 10$, $n = 56$, $G = PSL(3, 4) \cdot V_4$, or either of two of its three subgroups of index 2.

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The proof of Theorem 1 depends upon results of Cameron [7] on biplanes (symmetric designs with $\lambda = 2$) and Kantor [17, 18] on Steiner systems. If, however, one applies a very deep group theoretic classification theorem (concerning 3'-groups), the 3-transitivity assumption in Theorem 1 can be removed for many values of k :

THEOREM 2. *Let G be a primitive rank 3 group of degree $n = \frac{1}{2}(v^2 + v + 2)$ with subdegrees 1, v , $\frac{1}{2}v(v-1)$. If $v \not\equiv 1 \pmod{3}$, then $v = 5$, $n = 16$, and $G = V_{16} \cdot S_5$, $V_{16} \cdot A_5$, or $V_{16} \cdot (Z_5 \cdot Z_4)$.*

As remarked in Cameron [7], these theorems are equivalent to results about biplanes:

COROLLARY 1. *Let (X, \mathcal{B}) be a biplane on n points, with $v+1$ points in any block, admitting a null polarity \perp . Suppose that G is a group of automorphisms commuting with \perp such that G is transitive on \mathcal{B} . For $B \in \mathcal{B}$, assume that G_B is 2-transitive on $B - \{B^\perp\}$, and even 3-transitive if $v \equiv 1 \pmod{3}$. Then $v = 5$ or 10, and in each case the biplane is unique.*

The designs are described in [7].

This corollary and the corollary to [8, Theorem 4] both solve special cases of the following problem. Which biplanes admit a group G fixing a block B and a point $x \in B$, and acting 3-transitively on $B - \{x\}$? Our methods give some additional information on this problem: in Section 6 we show that, in such a biplane with $|B| > 11$, the stabilizer of three points of $B - \{x\}$ fixes exactly one further point.

From Theorem 1 we obtain the following contribution to a problem of D. G. Higman [14]:

COROLLARY 2. *Let G be a primitive rank 3 group of degree n with a prime subdegree p , and suppose that an element of order p in G is conjugate to its inverse. Then one of the following occurs:*

- (i) $p = 2$, $n = 5$, $G = Z_5 \cdot Z_2$;
- (ii) $p = 3$, $n = 10$, $G = S_5$, or A_5 ;
- (iii) $p = 5$, $n = 16$, $G = V_{16} \cdot S_5$, $V_{16} \cdot A_5$, or $V_{16} \cdot (Z_5 \cdot Z_4)$;
- (iv) $p = 7$, $n = 50$, $G = P\Sigma U(3, 5)$.

Proof. We may assume $p > 2$. Since an element of order p fixes a unique point, it is conjugate to its inverse in the stabilizer of that point. Also, n is even (since otherwise G has odd degree and odd subdegrees, and hence odd order).

Suppose G_x is soluble. By Higman [14], if (ii) does not occur, then G has a regular normal subgroup N , an elementary abelian 2-group. An involution in G_x then fixes at least $n^{1/2}$ points; but it fixes at most one point in each orbit of a Sylow p -subgroup, so $p \leq n^{1/2} + 1$. (Note that G_x acts faithfully

on each orbit.) Also, since G is primitive, $n \leq p^2 + 1$; so $n = 2^{2d}$, and G has subdegrees 1, $2^d + 1$, and $(2^d + 1)(2^d - 2)$. Then $|G_x| = |N_G(P)|$ divides $(2^d + 1)2^d$, and $(2^d + 1)(2^d - 2)$ divides $|G_x|$. So $d = 2$, $p = 5$, $n = 16$.

If G_x is insoluble, then it acts 3-transitively on its orbit of length p [21]. Case (b) above cannot occur with $s > 0$, since then v is composite. So Theorem 1 applies.

Notation. If G is a permutation group on a set X , then $\text{Fix}(G)$ denotes the set of fixed points of G in X . If $Y \subset X$, then G_Y and $G(Y)$ are the setwise and pointwise stabilizers of Y ; $G_{(x)} = G_x$. If Y is a fixed set of G , then G^Y denotes the permutation group induced on Y by G .

Z_n and V_n are the cyclic and elementary Abelian groups of order n ; S_n and A_n the symmetric and alternating groups of degree n . Notation for the classical projective groups is standard. HS is the Higman–Sims simple group. $A \cdot B$ is a split extension of A by B .

2. PRELIMINARIES

Suppose G is a group satisfying the hypotheses of the theorem. Then the graph Γ associated with the suborbit of length v is regular of valency v , contains no triangles, and has the property that if two vertices are not adjacent then exactly two vertices are adjacent to both. We will call a graph with these properties a *B-graph*. Given a biplane with a null polarity, we can construct a *B-graph* by calling two points adjacent whenever they are distinct and conjugate under the polarity; conversely, any *B-graph* arises in this way (see [7]). (We will be primarily dealing with *B-graphs*, instead of the equivalent biplanes with null polarities, partly so as to reserve the term “block” for a different use later.)

In any *B-graph* Γ , select a vertex ∞ (fixed throughout the discussion). Let $\Gamma(\infty)$ be the set of vertices adjacent to ∞ . Any vertex not adjacent to ∞ is adjacent to two members of $\Gamma(\infty)$; and, given $x, y \in \Gamma(\infty)$, there is one vertex other than ∞ adjacent to both. So we can label the set $\Delta(\infty)$ of vertices not adjacent to ∞ by the 2-subsets of $\Gamma(\infty)$.

If G is a group of automorphisms of Γ , and H is a subgroup of G_∞ , then Γ_H will denote the connected component containing ∞ of the restriction of Γ to $\text{Fix}(H)$ (or the vertex set of this graph), and $M_G(H)$ denotes the setwise stabilizer of Γ_H in $N_G(H)$. Thus $N_G(H)_x \leq M_G(H) \leq N_G(H)$.

LEMMA 2.1. (i) Γ_H is regular.

(ii) If H has no subgroup of index 2 then Γ_H is a *B-graph* and coincides with $\text{Fix}(H)$.

(iii) If $N_G(H)_x$ is transitive on $\Gamma_H(x)$ for all $x \in \Gamma_H$, then either $M_G(H)$ is transitive on Γ_H , or Γ_H is bipartite and the $M_G(H)$ -orbits are the bipartite blocks.

Proof. (i) If H fixes $x_1, \dots, x_k \in \Gamma(\infty)$, then the vertices of $\Gamma_H(x_1)$ are ∞ and the vertices of $\Delta(\infty)$ adjacent to x_1 and x_i , $2 \leq i \leq k$. So two adjacent vertices of Γ_H have the same valency. The result follows by connectedness.

(ii) Clearly Γ_H contains no triangle. If y and z are nonadjacent vertices in $\text{Fix}(H)$, then by hypothesis H fixes pointwise the two vertices adjacent to both.

(iii) $M_G(H)$ is transitive on the edges of Γ_H .

Next, we require a technique first used by Graham Higman to show that a Moore graph of valency 57 admits no even involutions (see also [23, 24]). Let Γ be a strongly regular graph, and Δ its complement. Let C, D be the intersection matrices of Γ and Δ (see [13]). Then I, C, D span a three-dimensional commutative algebra over \mathbb{C} , so they are simultaneously diagonalizable. Let (l, m, n) be an eigenvector, with eigenvalues c and d at C and D , respectively. For any automorphism g of Γ , let

$$\begin{aligned} \text{fix}(g) &= |\{x \in X \mid x^g = x\}| = |\text{Fix}(g)|, \\ \alpha(g) &= |\{x \in X \mid x^g \in \Gamma(x)\}|, \\ \beta(g) &= |\{x \in X \mid x^g \in \Delta(x)\}|, \\ \chi(g) &= (l \text{fix}(g) + m\alpha(g) + n\beta(g))/(l + mc + nd). \end{aligned}$$

Then the function $g \rightarrow \chi(g)$ is a character of the automorphism group of Γ (not necessarily irreducible), so $\chi(g)$ is an algebraic integer. Note that Γ has $\text{fix}(g) + \alpha(g) + \beta(g)$ points.

LEMMA 2.2. *Let Γ be a B-graph of valency v . Then*

- (i) $v = u^2 + 1$ for some integer u not divisible by 4;
- (ii) *an automorphism of order 3 fixes at least two points; if ∞ is one of these, and exactly w points of $\Gamma(\infty)$ are fixed, then u divides $\frac{1}{2}(w-1)(w+2)$; if $w = 1$ then u is odd; and*
- (iii) *if E is an automorphism group of odd order with $|F(E)| > 2$, then $F(E)$ is a B-graph of valency w with $w \leq u$.*

Proof. (i) In this case we find

$$C = \begin{pmatrix} 0 & 1 & 0 \\ v & 0 & 2 \\ 0 & v-1 & v-2 \end{pmatrix}, \quad c = u-1, \quad d = -u$$

$(l, m, n) = (u(u^2 + 1), u(u - 1), -2)$ (where $v = u^2 + 1$), and

$$\chi(g) = ((u + 1) \text{fix}(g) + \alpha(g) - (u^2 + u + 2))/2u.$$

Put $g = 1$; then $\text{fix}(1) = \frac{1}{2}(v^2 + v + 2)$, $\alpha(1) = 0$, and so

$$\chi(1) = \frac{1}{4}(u^2 + 1)(u^2 + u + 2).$$

Since $\chi(1)$ is an integer, u is an integer not divisible by 4.

(ii) Now let g be an element of order 3. Then $\alpha(g) = 0$, since if x and x^g are adjacent then $\{x, x^g, x^{g^2}\}$ is a triangle in Γ . Thus u divides $\text{fix}(g) - 2$. If $\text{fix}(g) \leq 1$ then $u = 1$ or 2 , and $v = 2$ or 5 . Thus, $\text{fix}(g) \geq 2$. By Lemma 2.1(ii), $\text{fix}(g) - 2 = \frac{1}{2}(w - 1)(w + 2)$; while if $w = 1$ then $2u$ divides $(u + 1)2 - (u^2 + u + 2) = -u(u - 1)$.

(iii) This follows from Lemma 2.1(ii) and Kantor [15, Lemma 9.5].

Next we need some information on the way $PSL(2, q)$ can act on a B -graph.

PROPOSITION 2.3 [1]. *Let \mathcal{D} be a biplane, B a block of \mathcal{D} , and $x \in B$. Suppose a subgroup G of $\text{Aut}(\mathcal{D})$ fixes x and B , and acts as $PSL(2, k - 2)$ (in its usual 2-transitive representation) on $B - \{x\}$, where $|B| = k$. Then \mathcal{D} is uniquely determined by k , and $k = 4, 5, 6$, or 11 .*

This was proved by Aschbacher by a detailed calculation within $PSL(2, k - 2)$. For a description of the designs that occur, see [7]. The result should be useful in attacking the problem mentioned in the Introduction; but to prove Theorem 1 we require only a simple corollary, which we prove directly.

COROLLARY 2.4. *Let G be a rank 3 group on X with subdegrees 1, v , $\frac{1}{2}v(v - 1)$ ($v > 2$). Suppose that, for $x \in X$, G_x acts on its orbit of length v as a subgroup of $P\Gamma L(2, v - 1)$ containing $PSL(2, v - 1)$. Then $v = 5$ or 10 .*

Proof. G acts on a B -graph (and hence G_x acts on a biplane in the manner of Proposition 2.3). Let $t \in G_x$ be an involution fixing w points of $\Gamma(x)$. Then t fixes $1 + w + \frac{1}{2}w(w - 1) + \frac{1}{2}(v - w) = \frac{1}{2}(w^2 + v + 2)$ points of X . Since the function $w \rightarrow \frac{1}{2}(w^2 + v + 2)$ is one-to-one, t fixes exactly w points adjacent to any one of its fixed points. We can choose t so that $w = 0, 1$ or 2 . Then $t^G \cap G_x$ is a conjugacy class in G_x ; so $C_G(t)$ is transitive on the $\frac{1}{2}(w^2 + v + 2) = \frac{1}{2}(v + 2)$, $\frac{1}{2}(v + 3)$, or $\frac{1}{2}(v + 6)$ fixed points of t . Now $|C_G(t)| = |\text{Fix}(t)| |C_{G_x}(t)|$ divides $|G| = \frac{1}{2}(v^2 + v + 2) |G_x|$. Using Lemma 2.2(i) and the fact that $v - 1$ is a prime power, we find that $v = 5, 10, 26$, or 50 . If $v = 26$ or 50 , then an element of order 3 in G_x fixes exactly two points adjacent to x , contradicting Lemma 2.2(ii).

LEMMA 2.5. *Let (X, \mathcal{B}) be a biplane, $X' \subset X$, $\mathcal{B}' \subset \mathcal{B}$, and $B \in \mathcal{B}'$. Then (X', \mathcal{B}') is a subbiplane if the following hold: $|B \cap X'| \geq 3$; if $x \in B \cap X'$ and $x \neq y \in X'$ then both blocks containing $\{x, y\}$ are in \mathcal{B}' ; and if $C, C' \in \mathcal{B}' - \{B\}$ satisfy $|B \cap C \cap C'| = 1$, then $C \cap C' \subset X'$.*

Proof. If $l = |B \cap X'|$ then each point of X' is on l blocks of \mathcal{B}' , and dually. Also $|X'| = |\mathcal{B}'| = 1 + \frac{1}{2}l(l-1)$. Now count the triples (x, C, C') with $x \in X' \cap C \cap C'$ and $C, C' \in \mathcal{B}'$, and find that $C \cap C' \subset X'$ for any such C, C' .

3. INITIAL REDUCTION

Let G , acting on X , be a counterexample to Theorem 1. Let ∞ be a point of X , $H = G_\infty$, and $\Gamma(\infty) = \{x_1, \dots, x_v\}$. Suppose $H_{x_1 x_2 x_3}$ fixes f points of $\Gamma(\infty)$ altogether. Then $N_H(H_{x_1 x_2 x_3})$ is sharply 3-transitive on these f points. By Zassenhaus [30] and Corollary 2.4, H is not sharply 3-transitive on $\Gamma(\infty)$; so $f < v$. In [7, Theorem 6], Cameron obtained some restrictions on f , and structural information about the graph Γ when f is small. In this section we will strengthen these restrictions.

A Steiner system $S(3, K, v)$, where K is a set of integers greater than 2, is a collection of subsets (called blocks) of a set of v points, such that the cardinality of any block lies in K , and any three points lie in a unique block. If $K = \{k\}$, we write $S(3, k, v)$. A subsystem of an $S(3, K, v)$ is a set Y of points such that the block through any three points of Y lies entirely in Y ; if Y contains a block, it evidently determines an $S(3, K, |Y|)$.

We will require the following consequence of a theorem of Kantor [17].

PROPOSITION 3.1. *Let $\mathcal{S} = S(3, k, v)$, $k > 3$, admit a group G of automorphisms with the property that the stabilizer of any three points has order 2, fixes pointwise the block B containing the three points, and acts semiregularly outside B . Then $v = (k-1)^2 + 1$, \mathcal{S} is an inversive plane of order $k-1$, and $G = \text{PGL}(2, (k-1)^2) \cdot \text{Z}_2$.*

THEOREM 3.2. *Let G, Γ, H, f be as in the first paragraph. If $f > 4$, then $f = 5$ or 10, and the graph Γ_K , $K = H_{x_1 x_2 x_3}$, is a B -graph.*

Proof. The translates under H of the set of fixed points of K in $\Gamma(\infty)$ form a Steiner system $S(3, f, v)$, and the set of fixed points of any subgroup of K is a subsystem. Assume $f > 4$. Let y be a vertex of Γ_K at distance 2 from ∞ (in Γ_K). Suppose there is a vertex z of Γ_K adjacent to y but not at distance 1 or 2 from ∞ . Then, if a and b are the vertices adjacent to ∞ and z in Γ , $L = K_a$ is a subgroup of index 2 in K . So $K \leq N_H(L)$, and K acts on the set $\Gamma_L(\infty)$ of fixed points of L in $\Gamma(\infty)$ as a group of order 2 fixing

pointwise the f points of the block containing x_1, x_2 , and x_3 (and fixing no further point). Since L has index 2 in the stabilizer of any three points of $\Gamma_L(\infty)$, Proposition 3.1 shows that $N_H(L)$ acts on $\Gamma_L(\infty)$ as $PGL(2, (f-1)^2) \cdot Z_2$, in particular, 3-transitively. Then $N_H(L)_y$ is transitive on $\Gamma_L(y) - \{x, x'\}$, where x and x' are adjacent to ∞ and y . Since one point in this set (namely, z) is at distance 2 from ∞ in Γ_L , the same is true of every point. Moreover, if $\infty' \in \Gamma_L$, then $M_G(L)_{x'}$ acts on $\Gamma_L(\infty')$ as $PGL(2, (f-1)^2) \cdot Z_2$. So $M_G(L)$ has rank 3 on Γ_L , and Γ_L is a B -graph. Now Corollary 2.4 implies $(f-1)^2 = 4$ or 9 , contradicting the assumption $f > 4$.

Thus any point of Γ_K is at distance at most 2 from ∞ in Γ_K ; and $N_H(K)$ acts on $\Gamma_K(\infty)$ as a sharply 3-transitive subgroup of $P\Gamma L(2, f-1)$. Exactly the same argument shows $f = 5$ or 10 and Γ_K is a B -graph.

In the cases $f = 3$ and $f = 4$, the argument also proves parts (ii)–(iv) of [7, Theorem 6] (that is, any 3-claw in Γ lies in a unique B -graph with valency 5 or 10, respectively). We will require this information later.

4. THE CASE $f = 3$ OR 5

Given a Steiner system $S(3, 5, v)$, a regular graph Γ of valency v can be constructed as follows. The vertices are the subsets of the point set of cardinality 0, 1, or 2; vertices P_1 and P_2 are adjacent whenever either

- (i) $P_1 \subset P_2$, $|P_2| = |P_1| + 1$; or
- (ii) $|P_1| = |P_2| = 2$, $P_1 \cap P_2 = \emptyset$, $P_1 \cup P_2 \subset C$ for some block C .

Γ is a B -graph if and only if the Steiner system has the properties

- (a) there do not exist three blocks B_1, B_2, B_3 with $|B_i \cap B_j| = 2$ for $i \neq j$ and $B_1 \cap B_2 \cap B_3 = \emptyset$;
- (b) given four points x_1, x_2, x_3, x_4 not contained in a block, there are just two point-pairs $\{y, z\}$ such that $\{x_1, x_2, y, z\}$ and $\{x_3, x_4, y, z\}$ are subsets of blocks.

We shall call an $S(3, 5, v)$ a B -system if (a) and (b) hold. (A B -system is a point-pair-schematic system with $k = 5$, in the sense of Cameron [9].)

LEMMA 4.1. *A subsystem of a B -system is a B -system.*

Proof. Let \mathcal{S} be a B -system and \mathcal{S}' a subsystem. Clearly condition (a) holds in \mathcal{S}' , since it holds in \mathcal{S} . Regarding (b), an easy calculation using (a) shows that the average number of pairs $\{y, z\}$ in \mathcal{S}' (over all x_1, \dots, x_4) is equal to 2; but there are at most two pairs for any x_1, \dots, x_4 , since there are exactly two in \mathcal{S} .

LEMMA 4.2. *Let $S(3, 5, v)$ be a Steiner system (with $v > 5$) admitting an automorphism group G such that any block is the fixed point set of an element of order 3, and the fixed point set of any element of order 3 is a block. Then $v \equiv 5 \pmod{18}$.*

Proof. Let t be an element of order 3, and $B = \text{Fix}(\langle t \rangle)$ (so B is a block). If C is a $\langle t \rangle$ -orbit outside B , then $|C| = 3$ and C is contained in a unique block B' , with $|B \cap B'| = 2$. Thus there is a map θ from $\langle t \rangle$ -orbits outside B to 2-subsets of B . Now t normalizes $G(B')$, and so centralizes an element s of order 3 in $G(B')$. Then $\langle s, t \rangle$ is a group of order 9, with two fixed points, and four orbits of length 3 (corresponding to blocks fixed pointwise by subgroups of order 3); all other orbits have length 9. So $v \equiv 5 \pmod{9}$.

Note also that $\langle s \rangle$ acts transitively on $B - \theta(C)$. So any 2-subset of B which belongs to the image of θ is fixed by an element of order 3 in $C_G(t)$. There are three possibilities for the image of θ : a single pair; all four pairs containing some point; or all 10 2-subsets of B . Note that $C_G(t)$ acts transitively on $\text{Im}(\theta)$, so each pair occurs equally often (namely, $(v-5)/3$, $(v-5)/12$, or $(v-5)/30$ times) as the image of a $\langle t \rangle$ -orbit.

Assume v is even. Then the first possibility must always occur; that is, an element of order 3 fixes every block containing some point-pair. With s and t as before, $\langle s, t \rangle$ has no orbits of length 9; so $v \equiv 14$. Then the number of blocks of S is $14 \cdot 13 \cdot 12/5 \cdot 4 \cdot 3$, which is not an integer. We conclude that v is odd, that is, $v \equiv 5 \pmod{18}$.

Remark. It is not hard to show that G_B induces A_5 or S_5 on B for each B .

THEOREM 4.3. *No B -system with $v > 5$ admits a 3-transitive automorphism group.*

Proof. Let S be a B -system with a 3-transitive group G . Since 3 divides $v-2$, and an element of order 3 in G cannot fix just two points (Lemma 2.2(ii)), there are two possibilities:

- (i) some element of order 3 fixes four points not contained in a block;
- (ii) every element of order 3 fixes a block pointwise, and any block is the fixed point set of such an element.

If (i) holds, let P be a 3-group maximal with respect to fixing four points not contained in a block, and $X' = \text{Fix}(P)$. Then X' carries a B -system (Lemma 4.1). If P is a Sylow 3-subgroup of the stabilizer of three points, then $N_G(P)$ is 3-transitive on X' , and an element of order 3 in $N_G(P)^{X'}$ fixes just two points, contradicting Lemma 2.2(ii). So, given any block B contained in X' , P is properly contained in a 3-group fixing B pointwise, and so $N_G(P)^{X'}$ contains an element of order 3 whose fixed point set is B . By the maximality of P , no element of order 3 in $N_G(P)^{X'}$ fixes four points not

contained in a block. So by restricting attention to X' if necessary, we may assume that (ii) holds.

Then, Theorem 4.2 implies $v \equiv 5 \pmod{18}$, but Lemma 2.2(ii) shows that $v = u^2 + 1$ where u divides $\frac{1}{3}(5 - 1)(5 + 2)$, so $u = 7$ or 14 , $v = 50$ or 197 , a contradiction.

THEOREM 4.4. *With the hypotheses of Theorem 3.2, $f \neq 3$ or 5 .*

Proof. By Theorem 3.2 in the case $f = 5$, and by [7, Theorem 6(ii), (iii)] in the case $f = 3$, there is a Steiner system $S(3, 5, v)$ on $\Gamma(\infty)$, which is a B -system whose associated B -graph is Γ . By Theorem 4.3, this is not possible.

Another consequence of Theorem 4.3 is the following.

COROLLARY 4.5. *There is no Steiner system $S(3, 5, v)$ with $v > 5$ admitting an automorphism group transitive on ordered quadruples of points not contained in a block.*

Proof. Easy counting arguments (see [9]) show that a Steiner system admitting such a group must be a B -system. (This result was first proved in Cameron [31], using a different method.)

5. THE CASE $f = 4$ OR 10

In this section we need another theorem of Kantor [18]:

PROPOSITION 5.1. *Let $\mathcal{S} = S(3, K, v)$, where K is the set of even integers. Suppose that \mathcal{S} admits an automorphism group G with the properties:*

- (i) *if an involution fixes more than two points, then its fixed point set is a block;*
- (ii) *for any block B , there is an involution fixing B pointwise.*

Then all blocks have the same size k , and one of the following occurs:

- (a) $v = (k - 1)^2 + 1$, \mathcal{S} is an inversive plane, $G \geq \text{PGL}(2, v - 1) \cdot Z_2$;
- (b) $k = 4$, $v = 8$, \mathcal{S} is $AG(3, 2)$, G contains the setwise stabilizer of a plane in $V_8 \cdot GL(3, 2)$;
- (c) $k = 4$, $v = 16$, \mathcal{S} is $AG(4, 2)$, $G = V_{16} \cdot A_7$.

Let G , acting on X , be a counterexample to Theorem 1, with $f = 4$ or 10 . by Theorem 3.2 in the case $f = 10$, and [17, Theorem 6(iv)] in the case $f = 4$, there are Steiner systems $S(3, 4, v)$ and $S(3, 10, v)$ on $\Gamma(\infty)$ (whose blocks we will call *4-blocks* and *10-blocks*, respectively) such that any 10-block, together with the 4-blocks it contains, forms an inversive plane admitting a 3-transitive subgroup of $P\Gamma L(2, 9)$. Moreover, if S is a subsystem of the

system $S(3, 10, v)$, and S' is the set of points of $\Delta(\infty)$ indexed by 2-subsets of S , then $\{\infty\} \cup S \cup S'$ is a B -graph.

Let $H = G_\infty$, and let T be a 2-subgroup of H of maximal order with respect to fixing four points of $\Gamma(\infty)$ not contained in a 10-block; note that T may be 1. Set $A = \Gamma_T(\infty)$, the set of fixed points of T in $\Gamma(\infty)$. (Γ_T was defined in Section 2.)

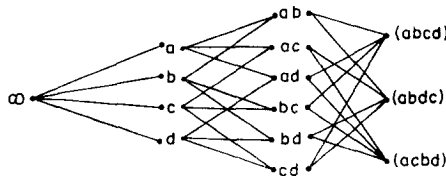
Suppose first that T is not a Sylow 2-subgroup of the stabilizer of three points of $\Gamma(\infty)$. Then, given any three points of A , $N_H(T)^A$ contains an involution fixing those three points, whose set of fixed points in A is a 4-block or a 10-block. Call any such block a T -block.

LEMMA 5.2. *No T -block properly contains another.*

Proof. Suppose t and u are involutions in $K = N_H(T)^A$ such that $\text{Fix}(t) = F$ is a 4-block, $\text{Fix}(u) = B$ is a 10-block, and $F \subseteq B$. Then B is the unique 10-block containing F . Choose $x \in F$, $y \in A - B$. Then t normalizes K_{xyy^t} , and so it centralizes an involution $s \in K_{xyy^t}$. Let $S = \text{Fix}(s)$; $|S| = 4$ or 10. Then t fixes S , so $|S \cap F| \leq 2$; we must have $|S \cap F| = 2$, since $S \not\subseteq B$. Now $\langle s, t \rangle$ fixes F , and so it fixes B and centralizes an involution in $K(B)$; without loss of generality we can suppose this involution is u . Now $\langle t, u \rangle$ acts on S , fixing the two points of $S \cap F$. Also tu is an involution fixing F pointwise, so $\text{Fix}(tu) \subseteq B$, and $\langle t, u \rangle$ is semiregular on $S - (S \cap F)$. This implies that $|S| = 10$ and $K_S^S \subseteq P\Gamma L(2, 9)$. But $P\Gamma L(2, 9)$ contains no Klein group fixing two points and semiregular on the remaining points.

It follows that the T -blocks form a Steiner system $\mathcal{S} = (3, \{4, 10\}, v^*)$ on A , satisfying the hypotheses of Proposition 5.1. We conclude that S is $AG(3, 2)$, $AG(4, 2)$, or the Miquelian inversive plane of order 3 or 9. In the last case, Γ_T is a B -graph (since A contains a 10-block), and K contains $PSL(2, 81)$, contradicting Corollary 2.4; so this case cannot occur. In any case, Γ_T is regular by Lemma 2.1(i). Also, T has maximal order among the 2-subgroups of G_a ($a \in \Gamma_T$) fixing four points of $\Gamma(a)$ not contained in a 10-block of the $S(3, 10, v)$ induced on $\Gamma(a)$; thus, all $\Gamma_T(a)$ carry isomorphic Steiner systems.

In the other three cases, we must examine in detail the structure of the graph Γ_T . We take the three possibilities in turn. First note that in the graph Γ , any 3-claw generates a unique graph on 14 points, which can be drawn thus:



Here, $\{a, b, c, d\}$ is a 4-block, and the symbols on the right denote the three possible 4-gons on the set $\{a, b, c, d\}$; this graph is, in fact, the incidence graph of the complement of the 7-point projective plane (see [7, p. 88]). Any 3-claw of this graph (in $\Gamma(a)$, say) determines this graph, and hence also a 4-block (in $\Gamma(a)$). In the future, we will omit the edges of such a graph. Note that if a vertex of Γ_T at distance 3 from ∞ receives two different labels, then these labels are disjoint since Γ is a B -graph; similarly, if two such vertices are adjacent then their labels must be disjoint.

Case 1. $\mathcal{S} = AG(3, 2)$. Let $A = \{a, b, c, d, e, f, g, h\}$. The list of blocks of \mathcal{S} follows.

$abcd$	$aceg$	$bceh$
$abef$	$acfh$	$bcfg$
$abgh$	$adeh$	$bdeg$
$cdef$	$adfg$	$bdfh$
$cdgh$		
$efgh$		

Now $\{\infty, ef, eg, eh\}$ is a 4-block in $\Gamma(e)$; so $\{ae, be, ce, de\}$ is another, and we have the graph

		$(aebf)$	
	ae	$(aecg)$	x
e	be	$(aedh)$	y
	ce	$(bech)$	
	de	$(bedg)$	z
		$(cedf)$	

Now z is uniquely determined as the second vertex adjacent to $(aecg)$ and $(bech)$, and is also adjacent to $(aedh)$ and $(bedg)$. Repeating the argument with other vertices in place of e , we find that z is also adjacent to $(bfdh)$, $(bfcg)$, $(afdg)$, and $(afch)$.

It cannot occur that two symbols such as $(aecg)$ and $(bfdh)$ index the same vertex v ; for if so, then (applying a suitable elation in K) another pair such as $(aegc)$ and $(bfhd)$ would index the same vertex v' , and the four vertices ae, cg, bf, dh would be adjacent to v and v' . Thus any vertex at distance 3 from ∞ is uniquely represented by its label, and there are 42 such vertices, each adjacent to four vertices at distance 2 from ∞ . Each vertex such as $(aebf)$ is adjacent to four vertices at distance 4 from ∞ . Any vertex at distance 4 from ∞ (such as z) is adjacent to 8 vertices at distance 3 from ∞ ; so there are 21 such vertices, and the Γ_T terminates and is bipartite.

Let Γ' be the graph on a bipartite block of Γ_T , in which two vertices are adjacent whenever they lie at distance 2 in Γ_T . Then Γ' has 50 vertices and

has valency 28. Vertices of Γ' adjacent to ∞ are indexed by lines of $AG(3, 2)$, two of them being adjacent if the corresponding lines are coplanar (intersecting or parallel). Similar arguments show that Γ' is strongly regular, with intersection matrix

$$C = \begin{pmatrix} 0 & 1 & 0 \\ 28 & 15 & 16 \\ 0 & 12 & 12 \end{pmatrix}.$$

In the notation of Section 2, $c = -4$, $d = 3$, $(l, m, n) = (7, -1, 1)$,

$$\chi(g) = (7 \operatorname{fix}(g) - \alpha(g) + \beta(g))/14.$$

Let t be an element of K acting on $AG(3, 2)$ as a translation. It is readily checked that t permutes the eight vertices adjacent to z (in Γ_T), so t fixes z . Also, t fixes four lines of $AG(3, 2)$, and maps any other line to a parallel line. So $\operatorname{fix}(t) = 26$, $\alpha(t) = 24$, $\beta(t) = 0$. But $(7 \cdot 26 - 24)/14$ is not an integer.

Case 2. $S = AG(4, 2)$. Take a point $e \in A$. The 4-blocks containing e , together with the sets $\{a, b, c, d\}$ for which $\{ea, eb, ec, ed\}$ is a 4-block in $\Gamma(e)$, form a system $S(3, 4, 16)$ isomorphic to $AG(4, 2)$. Since $AG(4, 2)$ is uniquely determined by its contraction $PG(3, 2)$, this system is identical with the original system on A ; that is, $\{ea, eb, ec, ed\}$ is a 4-block in $\Gamma(e)$ if and only if $\{a, b, c, d\}$ is a 4-block in $\Gamma(\infty)$.

Consider the following “closure” operation on subgraphs of a B -graph: a subgraph is “closed” if, whenever it contains the vertices and edges of a path (x, y, z) of length 2, it also contains the vertices and edges of the other path of length 2 from x to z . Let Γ^* be the closure of the graph consisting of ∞ and the vertices of an affine 3-space in A together with the edges joining them. Since Γ_T is closed, $\Gamma^* \subseteq \Gamma_T$; since the 14-graph is closed, the arguments used in Case 1 apply here to Γ^* (in place of Γ_T). Thus we obtain the same graph Γ' , and the same contradiction, as before.

Case 3. $\mathcal{S} = I(3)$, the inversive plane of order 3, and $K = P\Gamma L(2, 9)$. The blocks of $I(3)$ are:

<i>abcd</i>	<i>adhi</i>	<i>bchi</i>	<i>cdef</i>	<i>deg</i> <i>h</i>
<i>abef</i>	<i>adej</i>	<i>bceg</i>	<i>cdgi</i>	<i>dfij</i>
<i>abgh</i>	<i>adfg</i>	<i>bcfj</i>	<i>cdhj</i>	<i>efhi</i>
<i>abij</i>	<i>aegi</i>	<i>bdgj</i>	<i>ceij</i>	<i>efgj</i>
<i>acgj</i>	<i>afhj</i>	<i>bdfh</i>	<i>cfgh</i>	<i>ghij</i>
<i>acfi</i>		<i>bdei</i>		
<i>aceh</i>		<i>behj</i>		
		<i>bfgi</i>		

Now $I(3)$ is determined by its contraction $AG(2, 3)$, given a group isomorphic to the stabilizer of a point x in $PSL(2, 9)$: the blocks not containing x are all orbits of length 4 of subgroups of $PSL(2, 9)_x$. Thus $\{ae, be, ce, de\}$ is a 4-block in $\Gamma(e)$, and we have the 14-graph:

		(aebf)	
	ea	(aech)	x
e	eb	(aedj)	
	ec	(becg)	y
	ed	(bedi)	
		(cedf)	z

Assume first that any point at distance 3 from ∞ has a unique label. There are 90 such labels, permuted transitively by K . Then z is adjacent to $(aech)$, $(aedj)$, $(becg)$, and $(bedi)$. Using the fact that Γ is a B -graph, it is straightforward to check that K_z has index 2 in K_Γ , and z is adjacent to the five additional vertices $(abgh)$, $(abij)$, $(cdig)$, $(cdjh)$, and $(ghij)$. Similarly, x is adjacent to $(aebf)$, $(aedj)$, $(becg)$, $(cedf)$, $(agdf)$, $(ajcg)$, $(bfcj)$, and $(bgdj)$, and $K_x = K_{\{h, i\}}$. Thus, $|z^K| = 20$ and $|x^K| = 45$. Since $PGL(2, 9)$ is transitive on nonincident point-block pairs of \mathcal{S} , and has an element fixing z while interchanging x and y , it follows that $z^K \cup x^K$ consists of all points of Γ_T at distance 4 from ∞ . Moreover, all edges from points at distance 3 from ∞ are now accounted for, so Γ_T contains no circuits of length 7; hence no two points of $z^K \cup x^K$ can be adjacent, as they are at distance 3 from some point of A . Note that K_x is transitive on the set $\{h, i\}$ of points of $\Gamma_T(\infty)$ at distance 5 from x , and hence also on the points of $\Gamma_T(x)$ at distance 5 from ∞ . Consequently, the $20 + 90$ further edges leaving $z^K \cup x^K$ terminate at a set of $2 + 10$ points at distance 5 from ∞ , the 10 corresponding to edges leaving x^K and the 2 each sending 10 edges back to z^K . Let $S \in \text{Syl}_2 N_H(T)_{ab}$, so $|S^A| = 16 \mid T \mid$ and S fixes ∞' and some point $q \in \Gamma_T$ at distance 4 from ∞ . Let $\{s, t\} = \Gamma(\infty) \cap \Gamma(q)$ and $\{u, v\} = \Gamma(\infty) \cap \Gamma(\infty')$. ($\infty' \notin \Gamma(\infty)$ as $\infty' \notin \Gamma_T(\infty)$.) Then S_{stuv} is a 2-group strictly larger than T , so its fixed points lie in a 10-block B in $\Gamma(\infty)$. B is the unique 10-block containing u, v , and a . Repeating the argument with any point of $A - \{a, b\}$ replacing b , we see that $A \subseteq B$, a contradiction.

Since two labels for a point at distance 3 from ∞ must be disjoint, we see that any such point has exactly two labels, and there are 45 such points, each joined to 8 points at distance 2 from ∞ . Now we find easily (proceeding as above) that there are 10 points at distance 4 from ∞ , and a unique point ∞' at distance 5; the graph Γ_T is a "double cover" of the 56-graph occurring in Theorem 1(iii). Now let S be as above, let $q \in \Gamma_T$ be a fixed point of S at distance 3 from ∞ , and obtain the same contradiction as before.

Now we must deal with the case when T is a Sylow 2-subgroup of the stabilizer of three points of $\Gamma(\infty)$. Then, with $A = \Gamma_T(\infty)$ and $K = N_H(T)^A$, K is a 3-transitive group in which the stabilizer of three points has odd order. From theorems of Bender [3, 4] and Hering [12], we conclude that $K \supseteq PSL(2, q)$ for some q , or $K = A_6$.

If A contains a 10-block, then the 3-transitivity of K ensures that Γ_T is a B -graph, and Corollary 2.4 is contradicted. So A contains no 10-block. Note that A carries a Steiner system $S(3, 4, v')$; so K contains $PSL(2, 3^d)$ for some d . (Clearly $K \neq A_6$; if the stabilizer of a 3-set in a 3-transitive group containing $PSL(2, q)$ has a fixed point, then q is divisible by 3.)

If d is even, then there is a subsystem $S(3, 4, 10) = \mathcal{S}'$ admitting a 3-transitive subgroup of $P\Gamma L(2, 9)$. By the argument used previously in Case 3, we construct a subgraph which is a double covering of the 56-graph and is fixed pointwise by T . (This graph Γ^* is not Γ_T , but the "closure" of the 10-claw on $\{\infty\} \cup \mathcal{S}'$.) Let ∞' be the vertex "opposite" ∞ . Take $a, b \in \mathcal{S}'$ and let $P \in \text{Syl}_2 L_{ab}$, for $L = N_H(T)_{\mathcal{S}'}$ (so $|P^A| = 8 \mid T|$). As before, $\infty' \notin \Gamma(\infty)$. Let $\{u, v\} = \Gamma(\infty) \cap \Gamma(\infty')$. Then P_a fixes a, b , and x , where $|P_u| \geq 4 \mid T|$, and this contradicts the fact that T is the stabilizer of three points of $\Gamma(\infty)$.

So d is odd, and $K \geq PGL(2, 3^d)$. Let B be a 10-block meeting A in four points (so $A \cap B$ is a 4-block). The T -orbits in $B - (A \cap B)$ are the pairs indexing (as in Section 2) the three points at distance 3 from ∞ in the 14-graph generated by $A \cap B$. Let $\{x, y\}$ be one such orbit, and $S = T_x$. Then S is normal in T , so T induces an involution t on $Y = \text{Fix}(S) \cap \Gamma(\infty)$. Moreover, given any three points of Y , S is normal in a Sylow 2-subgroup of their pointwise stabilizer, so there is an involution in $L = N_H(S)^Y$ fixing those three points.

Thus, for any $a \in A$, t fixes $\{x, y, a\}$, and so centralizes an involution $s \in L_{xya}$. Then s fixes A and fixes exactly two points a, b of A , where $\{a, b, x, y\}$ is a 4-block. Thus $(L_{xyA})^A$ is a subgroup of $P\Gamma L(2, 3^d)$ with the property that the stabilizer of any point fixes another point and has even order. Also, $(L_{xyA})^A \cap PGL(2, 3^d)$ contains a dihedral group of order 8 (fixing $A \cap B$). From Dickson's list of subgroups of $PSL(2, q)$ [10, Chap. 12] we deduce that $(L_{xyA})^A$ contains a dihedral group of order $2(3^d + 1)$, transitive on A . The images of $A \cap B$ under this group are pairwise disjoint and cover A .

In the graph Γ_T , the vertex indexed by $\{x, y\}$ is joined to $3^d + 1$ vertices at distance 2 from ∞ (four indexed by pairs in each image of $A \cap B$ under L_{xyA}). So this graph has diameter 3, and is the incidence graph of a biplane. This biplane admits a group $M_H(T)$ of automorphisms and correlations transitive on points and blocks; the stabilizer of a block acts on it as a subgroup of $P\Gamma L(2, 3^d)$ containing $PGL(2, 3^d)$. But this cannot occur [7, proof of Theorem 3].

Thus we have proved

THEOREM 5.3. *With the hypotheses of Theorem 3.2, $f \neq 4$ or 10.*

Theorems 3.2, 4.4, and 5.3 complete the proof of Theorem 1.

6. AUTOMORPHISM GROUPS OF BIPLANES

Throughout this section, $\mathcal{D} = (X, \mathcal{B})$ is a biplane, and G is an automorphism group of \mathcal{D} fixing a block B and a point $x \in B$ and 3-transitive on $B - \{x\}$. Let $k = |B|$. We assume that \mathcal{D} is not one of the known examples (these have $k = 4, 6$, and 11). Suppose the stabilizer of three points of $B - \{x\}$ fixes f points of $B - \{x\}$ altogether.

THEOREM 6.1. *With the above hypotheses,*

- (i) $f = 4$;
- (ii) *there is a null polarity \perp of \mathcal{D} commuting with G such that $B^\perp = x$.*

Proof. Let $x = x_0$, $B = \{x_0, x_1, \dots, x_{k-1}\}$. Let B_{ij} be the block (different from B) incident with x_i and x_j . Since $G_{x_i x_j}$ fixes only the points x_0, x_i, x_j of B , there is a point y_{0ij} incident with B_{0i} , B_{0j} , and B_{ij} . All points and blocks of \mathcal{D} are now labeled.

Given three points x_i, x_j, x_k other than x_0 , the two blocks incident with y_{0ij} and x_k meet B again in two points x_l and x_m which are fixed or interchanged by $G_{x_i x_j x_k} = H$. (By the 3-transitivity of G , the same alternative holds for any three points.) If H fixes x_l , then the connected component containing B (in the incidence graph defined on $X \cup \mathcal{B}$) of the set of fixed points of H is a biplane (by Lemma 2.5), and $N_G(H)$ is sharply 3-transitive on $\text{Fix}(H) \cap (B - \{x\})$. By Zassenhaus [30] and Proposition 2.3, $f = 5$ or 10. (The cases $f = 3, 4$ cannot arise here, because the points x_i, x_j, x_k, x_l, x_m are fixed by H .) By Kantor [17], the resulting Steiner system $S(3, 5, k-1)$ or $S(3, 10, k-1)$ has a subsystem S admitting $PSL(2, 4^d)$ or $PSL(2, 9^d)$ for some $d > 1$. We claim that $\{x_0\} \cup S$ generates a subbiplane with block size $1 + |S|$; and this will contradict Proposition 2.3. By the 3-transitivity of G_S^S . If $x_u, x_v, x_w \in S$ ($x_u \neq x_v$), then these points lie in a block of S , so y_{0uv} and x_w lie in the corresponding biplane $B(6)$ or $B(11)$ (see [7]). Hence, Lemma 2.5 applies, and the claim is proved.

So we may assume H interchanges x_l and x_m . Let $K = H_{x_l}$, $Y = \text{Fix}(K) \cap (B - \{x\})$. There is a Steiner system $S(3, f, v^*)$ on Y admitting a group $N_G(K)$ satisfying the hypotheses of Proposition 3.1 if $f > 3$. From that result, and Hering [12] in the case $f = 3$, it follows that $N_G(K)^Y$ is 3-transitive (so a connected component of $\text{Fix}(K)$ is a biplane) and contains

$PSL(2, (f-1)^2)$. By Proposition 2.3, $f = 3$ or 4 , and any four points x_0, x_i, x_j, x_k lie in a unique biplane $B(6)$ or $B(11)$.

Let \perp be the correspondence $B \leftrightarrow x_0, B_{0i} \leftrightarrow x_i, B_{ij} \leftrightarrow y_{0ij}$. We show \perp is a null polarity. The only nontrivial step involves showing that if y_{0ij} and B_{kl} are incident, then so are y_{0kl} and B_{ij} . But if y_{0ij} and B_{kl} are incident, then they are contained in the $B(6)$ or $B(11)$ generated by $\{x_0, x_i, x_j, x_k\}$, and the restriction of \perp to this biplane is known to be a polarity. Now Theorem 4.3 shows $f \neq 3$.

COROLLARY 6.2 [8]. *Let \mathcal{B} be a biplane admitting an automorphism group fixing a block B and 4-transitive on B . Then $|B| = 4$ or 6 .*

Proof. If $k > 11$, then any four points of B generate $B(11)$ by Theorem 6.1; this is also true if $k = 11$. But the stabilizer of a block in $\text{Aut}(B(11))$ is not transitive on that block.

For related results, see [15; 16 8E(10 - 13)].

7. 2-TRANSITIVITY

We now turn to the proof of Theorem 2. Let G be provide a counterexample with minimal v . Once again, G is acting on a B -graph. Let $\infty, \Gamma(\infty), \Delta(\infty)$, and u be as in Section 2. Set $H = G_\infty$.

We claim that H is 2-transitive on $\Gamma(\infty)$. For it is certainly transitive on the $\frac{1}{2}(u^2 + 1)u^2$ 2-sets of $\Gamma(\infty)$. Hence $|H|$ is even, and this implies our claim.

Now consider the possibility $3 \nmid |G|$. H has a unique minimal normal subgroup M , and M is simple or elementary Abelian [5, p. 202]. Suppose M is simple. Then $M \cong Sz(2^e)$ for some $e \geq 3$ [11, 28] and it follows easily that $2^{2e} + 1 = v = u^2 + 1$, whereas $4 \nmid u$ (Lemma 2.2). Thus M is elementary Abelian of order $p^e = u^2 + 1$ for some prime p . Then $e = 1$, $v = p$, and Corollary 2 provides a contradiction.

Thus, $3 \mid |G|$. Let $P \leq H$ be a 3-group maximal with respect to $|\text{Fix}(P)| > 16$ (possibly $P = 1$), and $Q \geq P$ a 3-group maximal with respect to $|\text{Fix}(Q)| \geq 3$. By Lemma 2.2(ii), $Q \neq 1$. Both $F = \text{Fix}(P)$ and $F' = \text{Fix}(Q)$ are B -graphs; let w and w' denote their valencies.

We claim that $w' = 2$ or 5 . For, $N_H(Q)$ is 2-transitive on $F'(\infty) = F' \cap \Gamma(\infty)$. By Lemma 2.1, $N_G(Q)^{F'}$ satisfies the hypotheses of Theorem 2, provided $w' > 2$. Thus, the claim follows from the minimality of v .

In particular, $P < Q$. Set $K = N_H(P)^F$. We now describe several properties of K and $Y = F \cap \Gamma(\infty)$.

(a) $|Y| = 50$ or 197 . For, let $P \triangleleft Q_1 \leq Q$ with $|Q_1 : P| = 3$. Then $\text{Fix}(Q_1)$ is a B -graph contained in F , and $|Q_1^F| = 3$. Our choice of P forces $|\text{Fix}(Q_1)| \leq 16$. Then $|\text{Fix}(Q_1)| = 4$ or 16 by Lemma 2.2(i). Now Lemma

2.2(ii) implies that $(w - 1)^{1/2}$ divides $\frac{1}{2}(2 - 1)(2 + 2)$ or $\frac{1}{2}(5 - 1)(5 + 2)$, so $w = 50$ or 197 .

(b) If $x, y \in Y$, then $3 \mid |K_{xy}|$. For P is properly contained in a Sylow 3-subgroup of H_{xy} .

(c) If $g \in K$ has order 3, then g fixes exactly five points of Y . This is proved as in (a).

(d) If $g, g' \in K$ have order 3, and fix three points of Y in common, then they fix precisely the same five points. For, the fixed points of g correspond to a sub- B -graph of Γ of valence 5. Such a B -graph is generated by any 3-claw [7, p. 88].

(e) Let $E \leq K$ with $|E|$ odd, and assume that E fixes at least three points of Y . Then the fixed points of E on F form a B -graph (Lemma 2.1), and hence E fixes precisely $e^2 + 1$ points of Y for some integer e , where $1 + (e^2 + 1)^2 \leq |Y|$ (Lemma 2.2). Thus, if $|Y| = 50$ then $e = 2$.

In the next section, we will show that a permutation group K on a set Y , satisfying (a)–(e), must be 2-transitive. Assuming this, we deduce that $N_H(P)$ is 2-transitive on $F(\infty)$, and hence is transitive on $F \cap \Delta(\infty)$. It follows that $N_G(P)^F$ has rank 3. This contradicts the minimality of v , and hence proves Theorem 2.

Remarks. The case $v \equiv 1 \pmod{3}$ seems much harder than the above, as the proof of Theorem 5.3 indicates. Lemma 2.2(ii) is no longer useful in this situation: the example $B(11)$ shows that all elements of order 3 can fix exactly two points, in which case Lemma 2.2(ii) provides no restriction at all.

Note also that the proof of Theorem 2 primarily used H , not G . Only when H was a Frobenius group of prime degree was G employed. Unfortunately, we have not been able to handle B -graphs admitting such a group H .

8. A TECHNICAL RESULT

The following grotesque result can be regarded as an unrefined refinement of parts of the proof of Lemma 4.2 and Theorem 4.3.

PROPOSITION 8.1. *Let G be a permutation group on a set X . Then G is 2-transitive if the following conditions all hold:*

- (a) $|X| = 50$ or 197 ;
- (b) if $x, y \in X$, then $3 \mid |G_{xy}|$;
- (c) if $g \in G$ has order 3, then $|\text{Fix}(g)| = 5$;

(d) if $|g| = |g'| = 3$ and $|\text{Fix}(g) \cap \text{Fix}(g')| \geq 3$, then $\text{Fix}(g) = \text{Fix}(g')$;

(e) if $E \leq G$ with $|E|$ odd and $|\text{Fix}(E)| \geq 3$, then $|\text{Fix}(E)| = e^2 + 1$ for some integer e ; moreover, $e = 2$ if $|X| = 50$.

Proof. Suppose G is not 2-transitive. Let $\mathcal{T} = \{g \in G \mid |g| = 3\}$. We may assume $G = \langle \mathcal{T} \rangle$. Let g denote any element of \mathcal{T} , and let $T \in \text{Syl}_3 G$. Set $n = |X|$. That the case $n = 197$ is much easier than $n = 50$ is due to the following.

LEMMA 8.2. *If $n = 197$ then $|T| = 3$.*

Proof. Suppose $|T| \geq 9$. Since $n \equiv 8 \pmod{9}$, T fixes two points x, y , and also two 3-sets Σ_1, Σ_2 in $X - \{x, y\}$. By (c) and (d), T is elementary Abelian of order 9; moreover, its four subgroups of order 3 have pairwise disjoint fixed point sets on $X - \{x, y\}$. Thus, T is semiregular on a set of size $197 - 2 - 4 \cdot 3$, which is not a multiple of 9.

LEMMA 8.3. *If G is transitive then $n = 50$. If G is intransitive, then its orbit structure is one of the following:*

- (I) two orbits Γ, Δ , with $|\Gamma| + 2 \equiv |\Delta| \equiv 2 \pmod{3}$;
- (II) two orbits Δ_1, Δ_2 , with $|\Delta_i| \equiv 1 \pmod{3}$;
- (III) three orbits $\Gamma, \Delta_1, \Delta_2$, with $|\Gamma| + 1 \equiv |\Delta_i| \equiv 1 \pmod{3}$.

Proof. If there are two orbits Γ_1, Γ_2 with $|\Gamma_1| \equiv |\Gamma_2| \equiv 0 \pmod{3}$, choose $x \in \Gamma_1, y \in \Gamma_2$ and contradict (b) and (c). Thus, at most one orbit has length divisible by 3. By (b), (c), and (d), G fixes at most two points. If Δ_1, Δ_2 , and Δ_3 are orbits with $|\Delta_i| \equiv 1 \pmod{3}$ for $i = 1, 2, 3$, and if $|\Delta_1| > 1$, then choose $x, y \in \Delta_1, x \neq y$, in order to contradict (b) and (c). Thus, there are at most two orbits of length $\equiv 1 \pmod{3}$. Similarly, there is at most one orbit of length $\equiv 2 \pmod{3}$. Since $n \equiv 2 \pmod{3}$, this proves the lemma, except for the first assertion. But if G is transitive and $n = 197$, then G is 2-transitive by Burnside's theorem [5, p. 341].

LEMMA 8.4. $n = 50$.

Proof. Suppose $n = 197$. By (b) and Lemmas 8.2 and 8.3, G is intransitive and has no orbits of length divisible by 3. By Lemma 8.3, (II) must hold. If $|\Delta_i| > 1$, choose $x, y \in \Delta_i, x \neq y$, let $g_i \in \mathcal{T} \cap G_{xy}$, and note that $|\text{Fix}(g_i) \cap \Delta_{3-i}| = 1$. Since $|T| = 3$, it follows that we may assume $|\Delta_2| = 1$. By (b) and (c), Δ_1 inherits the structure of an $S(2, 4, 196)$, whose blocks are the fixed point sets on Δ_1 of members of \mathcal{T} . Let $g \in \mathcal{T}$. Since $r = 195/3 = 65 \equiv 2 \pmod{3}$, g fixes a block other than $\text{Fix}(g) - \Delta_2$. This again produces the contradiction $|T| > 3$.

LEMMA 8.5. (i) *Each element of G of odd prime order fixes 0, 1, 2, or 5 points of X .*

(ii) *G is a $\{2, 3, 5, 7\}$ -group.*

Proof. (i) is clear by (e), and (ii) follows immediately from (i).

LEMMA 8.6. *Suppose Δ is an orbit of G , and Σ is a nontrivial imprimitivity set of G^A . Then the following hold:*

- (i) $3 \nmid |\Sigma|$;
- (ii) $|\Sigma| \neq 2$;
- (iii) $|\Sigma| \neq 5$;
- (iv) $|\Sigma| \neq 10$;
- (v) $|\Sigma| \neq 7$.

Proof. (i) Suppose $3 \mid |\Sigma|$, and let $x \in \Sigma$, $y \in \Delta - \Sigma$. Then (b) contradicts (c).

(ii) Suppose $|\Sigma| = 2$. Then each $g \in \mathcal{T}$ fixes an even number of points of Δ , so $|\Delta| \not\equiv 0 \pmod{3}$. Assume $|\Delta| \equiv 1 \pmod{3}$. Then $|\text{Fix}(g) \cap \Delta| = 4$ for each $g \in \mathcal{T}$, and hence $|X - \Delta| = 1$. However, 49 is odd.

Assume $|\Delta| \equiv 2 \pmod{3}$. Then each $g \in \mathcal{T}$ must fix just two points of Δ . However, if x and y are chosen as in (i), this again yields a contradiction.

(iii) Suppose $|\Sigma| = 5$. Choosing x and y as above, we find first that $|\Delta| \equiv 1 \pmod{3}$, and then that $|X - \Delta| \leq 1$. Thus, $\Delta = X$, $|\Sigma^G| = 10$, and $g \in \mathcal{T} \cap G_{xy}$ fixes at least $2 \cdot 4$ points.

(iv) If $|\Sigma| = 10$, then G_{x^x} is primitive by (ii) and (iii). Hence, $G_{x^x} \geq A_{10}$ by (b) and Sims [26], and this contradicts (d).

(v) If $|\Sigma| = 7$, then $G_{x^x} \geq A_7$ by (b), and this contradicts (d).

LEMMA 8.7. *Case I does not occur.*

Proof. Suppose it does. Recall that $|\Delta| > 2$ since $G = \langle \mathcal{T} \rangle$. By (b) and (c), $|T| \geq 9$.

By Lemma 8.5, $|T| = 15, 18, 30, 36, 42$, or 45 .

If $|T| = 15$ then G^T is primitive of order divisible by 7. By Sims [26], property (d) contradicts the known properties of the possible groups G^T .

If $|T| = 18$ then G^T is primitive (Lemma 8.6) and hence $17 \mid |G|$ by [26].

If $|T| = 30$ then G^A is imprimitive by (c) and [26]. Let Σ^G be a nontrivial imprimitivity system of G^A . Then $|\Sigma| = 4$ and $O_2(G)^T = 1$ by Lemma 8.6, and these yield a contradiction.

If $| \Gamma | = 36$, then G^Δ is imprimitive by [26], and hence has a system Σ^G with $| \Sigma | = 7$. But clearly $9 \nmid | G^\Gamma |$, whereas $9 \nmid | G_{\Sigma^G}^\Sigma |$ and $9 \nmid | G(\Delta) |$.

If $| \Gamma | = 42$, $7 \mid | G^\Delta |$. By (d), $G^\Delta = A_8$ or S_8 . By Lemma 8.6, $G(\Delta) = 1$ and $O_2(G) = 1$. Thus, $G = G^\Delta$ is $PSL(2, 7)$ or $PGL(2, 7)$. Now G^Γ and \mathcal{T}^Γ yield a contradiction.

Finally, if $| \Gamma | = 45$ then $| \Delta | = 5$. Now \mathcal{T}^Δ implies that $G^\Delta \geq A_5$. However, $27 \mid | G^\Gamma |$, so $| T(\Delta) | = 9$ and $| T | = 27$. Since $N_G(T(\Delta))^\Delta \geq A_5$, $C_G(T(\Delta))^\Delta \geq A_5$. Thus, T is Abelian. Now $T(\Delta)$ fixes $\text{Fix}(g) \cap \Gamma$ for any $g \in T$ having $| \text{Fix}(g) \cap \Gamma | = 3$, and this implies the lemma.

LEMMA 8.8. *If Δ is an orbit with $| \Delta | \equiv 1 \pmod{3}$, then $| \Delta | = 1, 4, 16, 25, 28$, or 40 .*

Proof. By Lemma 8.5 only the cases $| \Delta | = 7, 10$ need to be eliminated. But these are handled precisely as in Lemma 8.6.

LEMMA 8.9. *Case (II) cannot occur.*

Proof. By Lemma 8.8, in this case we may assume $| \Delta_1 | = 1$ or 25 .

Suppose $| \Delta_1 | = 25$, so $| \Delta_2 | = 25$. By Lemma 8.6 and Wielandt [29], either G^{Δ_i} has a regular normal subgroup, or else it is 2-transitive. Since $g \in \mathcal{T}$ exists fixing two points of Δ_i , in the former case $\text{Fix}(g) \subseteq \Delta_i$, which is impossible. Thus, G^{Δ_i} is 2-transitive for $i = 1, 2$. Let $x \in \Delta_1$. If G_x is transitive on Δ_2 then some nontrivial 5-element fixes more than five points of Δ_1 , contradicting Lemma 8.5. Thus, the two 2-transitive representations have the same character. But this is impossible as $g \in \mathcal{T}$ fixes different numbers of points.

Thus, we may assume $| \Delta_1 | = 1$ and $| \Delta_2 | = 49$. Then by (c), Δ_2 inherits the structure of an $S(2, 4, 49)$, whose blocks are the fixed point sets on Δ_2 of elements of order 3. As above, G^{Δ_2} is primitive and hence 2-transitive. If $x \in \Delta_2$, then G_x is transitive on the $(49 - 1)/(4 - 1) = 16$ blocks through x .

By O’Nan [22] and Shult [25], $Z(O_2(G_x)) = 1$ and $Z(O_3(G_x)) = 1$. Thus, G_x has a faithful transitive representation of degree 16, which must have a (unique) imprimitivity system $\{\Sigma_1, \Sigma_2\}$ with $| \Sigma_i | = 8$ (by [26]). Moreover, some block on x is fixed pointwise by an element of order 7, and this contradicts Lemma 8.5.

LEMMA 8.10. *Case (III) does not occur.*

Proof. Let $\Gamma, \Delta_1, \Delta_2$ be as in (III). First note that $| \Gamma | > 3$. For if $| \Gamma | = 3$ then (d) and $G = \langle \mathcal{T} \rangle$ would imply that G fixes two points, which is not the case.

Now suppose $|\Delta_1| = 1$. By (d), Γ inherits the structure of a Steiner triple system \mathcal{S} . Moreover, each $v \in \Delta_2$ determines a partition of \mathcal{S} into $|\Gamma|/3$ pairwise disjoint blocks, while each block determines a unique point of Δ_2 (all by (b)–(d)). Thus, $|\Delta_2| = (|\Gamma| - 1)/2$, so $|\Gamma| = 33$, which contradicts Lemma 8.5.

Thus, $|\Delta_1| > 1$ and $|\Delta_2| > 1$. Also $|\Delta_i| > 4$ ($i = 1, 2$). For if $|\Delta_1| = 4$, then by (b) and (c), $T(\Delta_1)$ would be nontrivial and semiregular on Δ_2 . Thus, by Lemma 8.8, $|\Delta_i| \geq 16$ for $i = 1, 2$.

If $|\Delta_1| = 28$ then $|\Gamma| \geq 6$ forces $|\Delta_2| = 16$ and $|\Gamma| = 6$, whereas this contradicts Lemma 8.5 (applied to an element of order 7). Thus, $|\Delta_i| \in \{16, 25\}$ for $i = 1, 2$.

If $|\Delta_1| = |\Delta_2| = 16$, then $|\Gamma| = 18$. By Lemma 8.6, G^Γ is primitive. Hence, by [26], $17 \mid |G^\Gamma|$, and this contradicts Lemma 8.5.

Thus, we may assume $|\Delta_1| = 16$, $|\Delta_2| = 25$, and $|\Gamma| = 9$. But now G^Γ is primitive by Lemma 8.6, so (b) and [26] imply that $G^\Gamma \geq A_9$. This contradicts (d), and proves the lemma.

At this stage, Lemma 8.3 shows that G is transitive. Since $G = \langle \mathcal{T} \rangle$, Lemma 8.6 implies that G is primitive. Let $x \in X$. We may assume $T \leq G_x$.

LEMMA 8.11. G_x^{X-x} has precisely $s + 1$ orbits, $s \geq 1$, which can be labeled $\Delta, \Gamma_1, \dots, \Gamma_s$ so that $|\Delta| \equiv 1 \pmod{3}$, $|\Delta| > 1$, $|\Gamma_i| \equiv 0 \pmod{3}$, and $|\Gamma_i| > 3$ for $1 \leq i \leq s$. Moreover, $|T| \geq 9$.

Proof. Recall that G_x^{X-x} is intransitive. Also, it has no orbits of length 1 or 2, and hence (by (b)–(d)) none of length 3. Now, as in the proof of Lemma 8.3 since $49 \equiv 1 \pmod{3}$, G_x^{X-x} has at most one orbit of length $\equiv 1 \pmod{3}$ and none of length $\equiv 2 \pmod{3}$. This implies the first assertion. The second follows from (b).

LEMMA 8.12. $|\Delta| = 4$ or 10 .

Proof. By Lemma 8.5, the only other possibilities are $|\Delta| = 7, 25, 28$, or 40 .

If $|\Delta| = 25$ and $P \in \text{Syl}_5 G_x$, then P fixes five points of $X - \Delta$ and cannot act semiregularly on the remaining 20. This contradicts (e).

If $|\Delta| = 40$, then $s = 1$ and $|\Gamma_1| = 9$. However, no rank 3 group exists having these parameters.

If $|\Delta| = 7$, then $7 \mid |\Gamma_i|$ for each i by Lemma 8.5. Thus, $s = 1$ and $|\Gamma_1| = 42$. Now $G \supseteq \text{PSU}(3, 5)$ [12], and $G_x^\Delta \supseteq A_7$. This contradicts (d).

Suppose $|\Delta| = 28$. As above, $s = 1$ and $|\Gamma_1| = 21$. In the standard rank 3 notation, necessarily $\lambda = 8$ and $\mu = 9$ for the Γ_1 -graph.

The irreducible constituents of the permutation character have degrees 1, 24, 25. Thus G is of $2p$ -type, in the terminology of Scott [23]. His results include the nonexistence of such a group.

LEMMA 8.13. $|\Delta| = 10$.

Proof. Suppose $|\Delta| = 4$. By a result of Manning [19], we may assume $|\Gamma_1| \nmid 12$. Then $s > 1$, so $T(\Delta) \neq 1$. Thus, $|\Gamma_1| = 12$.

Now T fixes 3-sets of both Δ and Γ_1 , so $|T| = 9$ and hence $s \leq 3$ by (b). Then $s = 3$ by Lemma 8.5. Also $9 \nmid |\Gamma_i|$, $i = 2, 3$. Since $|\Gamma_2| + |\Gamma_3| = 33$ it follows that $\{|\Gamma_2|, |\Gamma_3|\} = \{12, 21\}$, and this contradicts Lemma 8.5.

We can now complete the proof of Proposition 8.1. Some $g \in \mathcal{T} \cap G$ fixes three points of Γ_1 and one of Δ . Thus, G_x^Δ is primitive. By [26] and (d), $G_x^\Delta \supseteq PSL(2, 9)$. By Manning [19], G_x is faithful on Δ and we may assume $|\Gamma_1| \nmid 10 \cdot 9$.

By [6], $|\Gamma_1| > 20$. $|\Gamma_1| \neq 30$, as then $|X - (\{x\} \cup \Delta \cup \Gamma_1)| = q$ while $|\Gamma_i| \neq 3, 6, 9$. This contradiction completes the proof.

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