## On a Class of Jordan Groups

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To Helmut Wielandt, on his sixtieth birthday, 19 December, 1970

The purpose of this note is to prove the following two results.

**Theorem 1.** Let  $\Gamma$  be a finite group 2-transitive on a set S of v points. Let  $B \subset S$ , where |B| = k < v - 1 and  $\Gamma$  is not k-transitive. Suppose that the global stabilizer  $\Gamma_B$  of B has a normal subgroup fixing B pointwise and sharply transitive on S - B. Then  $\Gamma$  acts on S as one of the following groups:

- (i) A subgroup of  $P\Gamma L(d, q)$  containing PSL(d, q), in its usual 2-transitive representation;
- (ii) A collineation group of AG(d,q), containing the group ASL(d,q) of collineations generated by elations, in its usual 2-transitive representation on AG(d,q);
  - (iii)  $A_7$  in its 2-transitive representation of degree 15;
- (iv) There is a regular normal subgroup, and if  $x \in S$  then  $\Gamma_x$  acts on  $S \{x\}$  as the group mentioned in (iii); or
  - (v)  $M_{22}$ , Aut  $M_{22}$ ,  $M_{23}$  or  $M_{24}$  in its usual permutation representation.

**Theorem 2.** Let  $\Gamma$ , S and B satisfy the hypotheses of the first two sentences of Theorem 1. Suppose that  $\Gamma_B$  has a normal nilpotent subgroup fixing B pointwise and transitive on S-B. Then either conclusion (i) or (v) of Theorem 1 holds or conclusion (ii) holds and q=2.

We remark that the converses of these theorems hold except that we must have  $d \ge 3$  in (i), while in (ii)  $d \ge 2$  if q > 2 and  $d \ge 3$  if q = 2.

Theorem 1 extends results of Ito [6] and the author [7, 8] concerning Jordan groups. The particular case in which the given normal subgroup of  $\Gamma_B$  is abelian was treated in [8] and will be used here.

In [7] a discussion of Jordan groups and some techniques for handling them can be found. Only a few simple facts will be assumed from [7] in the present paper.

The proof of Theorem 1 leans heavily on recent results of Shult [11, 12] and Hering, Kantor and Seitz [3] concerning 2-transitive groups. Moreover, many of the ideas in our proof are easily traced to [3]. We refer the reader to [11] and

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[3] for those properties of PSL(2, q), Sz(q), PSU(3, q) and groups of Ree type which will be needed here.

Theorem 2 is a simple consequence of Theorem 1. Although a proof can be given using a result of Kantor and Seitz [10], we have chosen a more direct geometric proof.

Suppose that S, B and  $\Gamma$  satisfy the hypotheses of Theorem 1 or 2. Let  $\Pi \subseteq \Gamma$  and  $T \subset S$ . Then  $\Pi(T)$  will denote the pointwise stabilizer of T,  $\Pi_T$  the global stabilizer of T, and  $\Pi_T^T$  the group induced on T by  $\Pi_T$ .

A subset of S of the form  $B^{\alpha}$ ,  $\alpha \in \Gamma$ , will be called a block. The points of S, together with these blocks, form a design  $\mathcal{D}$ . For purposes of induction, it will be convenient to prove slightly stronger forms of Theorems 1 and 2 concerning (non-degenerate) designs.

**Theorem 1'.** Let  $\Gamma$  be an automorphism group of a design  $\mathscr{D}$  2-transitive on points and transitive on blocks. Let B be a block, and suppose that  $\Gamma_B$  has a normal subgroup  $\Pi \leq \Gamma(B)$  sharply transitive on the set S-B of points not in B. Then one of the following holds:

- (i)  $\mathscr{D}$  consists of the points and hyperplanes of PG(d, q) and  $\Gamma \geq PSL(d+1, q)$ ;
- (ii)  $\mathscr{D}$  consists of the points and hyperplanes of AG(d,q) and  $\Gamma \geq ASL(d,q)$ ;
- (iii)  $\mathcal{D}$  consists of the points and lines of PG(3,2), and  $\Gamma$  is  $A_7$ ;
- (iv)  $\mathscr{D}$  consists of the points and planes of AG(4,2),  $\Gamma$  contains the translation group, and if  $x \in S$  then  $\Gamma_x$  is  $A_7$ ; or
- (v)  $\mathcal D$  is the design associated with  $M_v, v=22, 23, or 24, and <math>\Gamma$  is  $M_{22},$  Aut  $M_{22}, M_{23}$  or  $M_{24}.$

*Proof.* Let  $\mathscr{D}$  and  $\Gamma$  yield a counterexample with v minimal. Then  $\Gamma$  is not 3-transitive ([5]; [7], p. 481).

We shall use the following additional notation. If  $\gamma \in \Gamma$  we write  $\Pi(B^{\gamma}) = \Pi^{\gamma}$ . Lines and planes are defined in [2], pp. 65–66 and [7], pp. 472–473. If  $x \in S$  then  $\Gamma(x)$  denotes the linewise stabilizer of x, and  $\hat{I}_x$  denotes the permutation group induced by  $\Gamma_x$  on the lines on x. If  $\Phi \subseteq \Gamma$ , then  $\Gamma(\Phi)$  is the set of fixed points of  $\Phi$ .

Fix points  $x \in B$  and  $y \notin B$ .

**Lemma 1.** Let  $X \neq B$  be a block on y such that  $|B \cap X|$  is as large as possible. Then  $\Pi(X)_B$  is sharply transitive on  $B - B \cap X$ , and  $\Gamma_{vB}^B$  is 2-transitive on B.

*Proof.* Let  $x_1, x_2 \in B - B \cap X$ . Then  $x_2 = x_1^{\gamma}$ ,  $\gamma \in \Pi(B)$ , and  $B \cap B^{\gamma}$  contains  $B \cap X$  and  $x_2$ . Thus,  $B = B^{\gamma}$ , proving the first statement. For the second, see [17], p. 36, or [7], (3.4).

**Lemma 2.** Let  $\Phi \subset \Gamma$ , and suppose that  $\Phi$  fixes B and  $F(\Phi) \not\equiv B$ . Then  $C_{\Pi}(\Phi)$  is transitive on  $F(\Phi) - B \cap F(\Phi)$ .

*Proof.* Let  $x_1, x_2 \in F(\Phi) - B \cap F(\Phi)$ . Then  $x_2 = x_1^{\gamma}, \gamma \in \Pi$ . If  $\varphi \in \Phi$ , then  $\Pi^{\varphi} = \Pi$  and  $x_1^{\varphi \gamma} = x_1^{\gamma} = x_1^{\gamma \varphi}$ , so that  $\varphi \gamma \varphi^{-1} \gamma^{-1} \in \Pi_{x_1} = 1$ . Thus,  $\gamma \in C_{\Pi}(\Phi)$ .

We next note that, since  $\Gamma$  is not 3-transitive and  $\Gamma$  is transitive on ordered triples of non-collinear points, lines have more than 2 points ([7], (3.6)).

**Lemma 3.** The points and lines of  $\mathcal{D}$  do not form PG(d,q) or AG(d,q).

*Proof.* Otherwise, B is a subspace. Set  $e = \dim B$ . If e = 1 then  $\Gamma$  is transitive on i-spaces for  $i \le 2$ . If e > 1, by Lemma 1 and the minimality of v, either  $\Gamma_{vB}^{B}$  contains PSL(e+1,q) or ASL(e,q), or we have PG(d,2), e=3, and  $\Gamma_{vB}^{B}$  is  $A_7$ .

(i) Suppose that  $\Gamma_{yB}^B$  is not  $A_7$ . Then  $\Gamma$  is transitive on *i*-spaces for  $i \le e+1$  ([7], (3.10)). Here we allow e=1. Clearly, e < d-1. Let  $q=p^a$  with p prime.

First suppose that we have PG(d,q) and  $p \neq 2$ . Let  $\sigma \in \Gamma_y$  be an involution. Then  $\sigma$  fixes pointwise two subspaces  $T_1$  and  $T_2$  which span PG(d,q). Let dim  $T_1 = j$  and dim  $T_2 = d - 1 - j$ . If j or d - 1 - j is 0, then  $\Gamma \geq PSL(d + 1,q)$  (Higman [4]; Wagner [15]), so that since  $d > e + 1 \geq 2$  we can find another involution in  $\Gamma$  which is not a perspectivity. So suppose that  $j \neq 0 \neq d - 1 - j$ . Since  $e \leq d - 2$  and  $\Gamma$  is transitive on e-spaces, there is a block K such that  $K \not\equiv T_1$ ,  $K \not\equiv T_2$ , and  $K \cap T_1$  and  $K \cap T_2$  span K. Then K fixes K. By Lemma 2, K and K is an element fixing  $K \cap T_1$  and  $K \cap T_2$  and moving a point of K is impossible.

Thus,  $|\Pi| = (q^{d+1} - q^{e+1})/(q-1)$  or  $q^d - q^e$  is even. Each involution in  $\Pi$  fixes each (e+1)-space  $R \supset B$ . Thus, the subgroup of  $\Pi$  generated by the involutions in  $\Pi$  fixes R, is faithful on R, and induces a group of perspectivities on R with axis B. Since  $\Pi_R$  is transitive on R - B,  $\Pi$  has a normal elementary abelian Sylow p-subgroup K of order  $q^{e+1}$  or  $q^e$ . K fixes each subspace containing B.

Let  $1 \neq \alpha \in K$ . Then B is the set of fixed points of  $\alpha$ . Let F be an (e-1)-space in B. The e-spaces containing F form a PG(d-e,q).  $\alpha$  induces an elation of PG(d-e,q) with center B. In view of the action of K on R, we may assume that  $\alpha$  moves some e-space in R containing F. Also,  $\Gamma(F)$  is 2-transitive on PG(d-e,q). Thus,  $\Gamma(F)$  induces a collineation group containing PSL(d-e+1,q) (Higman  $\lceil 4 \rceil$ , Wagner  $\lceil 15 \rceil$ ).

Let  $M \subseteq F$  be an m-space, where  $0 \le m \le e - 1$ . By induction, except possibly when q = 2 and d - m - 1 = 3,  $\Gamma(M)$  induces a group on PG(d - m - 1, q) containing PSL(d - m, q) (Higman [4], Wagner [15]). Since  $\Gamma$  is not 3-transitive, either  $\Gamma$  is  $A_7$  acting on PG(3, 2), which is not the case, or  $\Gamma$  contains PSL(d + 1, q) or ASL(d, q) ([7], § 5).

Suppose that  $\Gamma$  contains PSL(d+1,q), q>2. Consider the collineation  $\gamma$  represented by the diagonal matrix with i entries f, i entries  $f^{-1}$  and 0 or 1 entry 1, where  $0, 1 \neq f \in GF(q)$  and  $i = \left[\frac{1}{2}(d+1)\right] \geq 2$ . Precisely as for PSL(d+1,q), q odd, we obtain a contradiction.

If  $\Gamma$  contains ASL(d, q), q > 2, let  $\alpha$  be an elation whose axis H is a hyperplane of AG(d, q) parallel to but not containing B. By Lemma 2,  $C_{\Pi}(\alpha)$  is transitive on H, whereas  $q^{d-1} \not | |\Pi|$ .

Suppose that  $\Gamma$  is PSL(d+1,2). Let  $\Sigma$  be the translation group of AG(d+1,2). The group  $\Gamma \Sigma = ASL(d+1,2)$  then satisfies the conditions of Theorem 1', with B now an affine (e+1)-space, not a hyperplane. We now obtain the same contradiction as in the preceding paragraph.

(ii) As in the preceding paragraph, we now need only consider the following situation:  $\Gamma$  is a collineation group of AG(d,2), containing the translation group, 3-transitive on points, satisfying the hypotheses of Theorem 1' with B a 4-space, and such that  $\Gamma_{yB}^{B}$  is  $A_{7}$ . Clearly, each 4-space ||B| is a block.  $\Gamma$  is transitive on planes.

Two blocks meet in at most 4 points. Thus, there are  $\frac{(2^d-2^2)(2^d-2)(2^d-1)}{(2^d-2)(2^d-2)(2^d-2)}$  blocks on x, of which  $\frac{(2^d-2)(2^d-2^2)}{(2^d-2)(2^d-2^2)}$  are on x and y and  $\frac{(2^d-2^2)(2^d-2^2)}{(2^d-2^2)}$  contain a given plane. Since  $|II|=2^d-2^d$  is even, we have  $d \le 2e=8$ . It is now easy to see that d=8.

As in (i), the group K generated by the involutions in  $\Pi$  is elementary abelian of order  $2^4$  and fixes each 5-space  $R \supset B$ . In view of its action on R,K fixes each 4-space  $\|B\|$ .

We claim that  $\Gamma(T)=1$  for each proper subspace  $T\supset B$ . For let  $\Gamma(T)=1$ , where dim T is chosen maximal. Let  $B^*$  be a block  $\|B$  such that  $B^*\cap T=\emptyset$ . The Sylow 2-subgroup  $K^*$  of  $\Pi(B^*)$  fixes each block  $\|B^*$  contained in T, and hence fixes T. As  $K^*$  is elementary abelian of order >2 and normalizes  $\Gamma(T)$ ,  $K^*\Gamma(T)$  is not a Frobenius group with complement  $K^*$ . Then some element  $\gamma + 1$  of  $\Gamma(T)$  centralizes an element  $\gamma + 1$  of  $\gamma + 1$  of  $\gamma + 1$  of  $\gamma + 1$  of  $\gamma + 1$  is a subspace. By Lemma 2,  $C_{\Pi(B^*)}(\gamma)$  is transitive on  $\gamma + 1$  of  $\gamma + 1$  whereas  $\gamma + 1$  is a subspace. By Lemma 2,  $\gamma + 1$  is transitive on  $\gamma + 1$  of  $\gamma + 1$  is a subspace.

Thus,  $|\Gamma_x| = (2^8 - 1)(2^8 - 2)(2^8 - 2^2)(2^8 - 2^4)$ . Let  $\Sigma$  be a Sylow 127-subgroup of  $\Gamma_x$ . Then  $\Sigma$  fixes a unique point  $\pm x$ , say y.  $N(\Sigma)$  faithfully induces a group on PG(6, 2). Thus,  $|N(\Sigma)| = 127c$ , c = 1 or 7 (Dembowski [2], p. 35). It follows that

$$|\Gamma_x: N(\Sigma)_x| \equiv (2-1) 2(2-4)(2-16)/c \neq 1 \pmod{127},$$

contradicting Sylow's theorem.

Lemma 4. (i) Blocks are lines.

- (ii) There are r = (v-1)/(k-1) lines on x and  $r \ge k+2$ .
- *Proof.* (i) Otherwise, let E be a plane meeting B in a line. Then  $\Pi_E$  is sharply transitive on  $E B \cap E$ . It follows that  $\Gamma_E^E$  satisfies the hypotheses of Theorem 1'. Thus, any 3 non-collinear points are contained in a unique PG(2, q) or AG(2, q). By [7], Theorem 6.5, or the Veblen and Young axioms [14] and results of Bruck ([2], pp. 100–101) and Buekenhout [1], the points and lines of  $\mathscr{D}$  form PG(d, q) or AG(d, q), contradicting Lemma 3.
  - (ii) This is immediate by (i).

We note that Lemmas 1 and 4 imply that  $\Gamma_{yB}^{B}$  satisfies the hypotheses of results of Shult [12] and Hering, Kantor and Seitz [3].

**Lemma 5.** (i)  $\Pi \cap \Gamma(x) = 1$ .

- (ii)  $\Pi$  is nonabelian.
- (iii)  $\Gamma(x)$  acts regularly on  $S \{x\}$ .

*Proof.* (i), (ii). [8], Theorem 1 and Corollary 1.

(iii) By (i),  $[\Pi, \Gamma(x)] \leq \Pi \cap \Gamma(x) = 1$ . Since  $\langle \Pi(X) | x \in X \rangle$  is transitive on  $S - \{x\}$ , (iii) follows.

We define a quadrangle to be a set of 4 points, no 3 collinear. As usual, xy denotes the line joining x and y.

**Lemma 6.** Let  $\Phi \subset \Gamma$  fix a quadrangle pointwise. Set  $C_0(\Phi) = \langle C_{\Pi(X)}(\Phi) | \Phi$  fixes at least 2 points of  $X \rangle$ . Then either

- (i) There are 3 collinear points fixed by  $\Phi$ , and  $C_0(\Phi)^{F(\Phi)}$  satisfies the hypotheses of Theorem 1'; or
  - (ii) No 3 fixed points of  $\Phi$  are collinear, and  $C_0(\Phi)^{F(\Phi)}$  is 3-transitive.

*Proof.* Let x, y, z be non-collinear points in  $F(\Phi)$ . By hypothesis, we can find  $w \in F(\Phi)$  such that  $y, z \notin x w$ . By Lemma 2,  $C_{\Pi(xw)}(\Phi)$  has an element moving y to z. Thus,  $C_0(\Phi)^{F(\Phi)}$  is 2-transitive. Lemma 2 now implies that (i) or (ii) holds.

**Lemma 7.** Suppose that  $\Phi \subset \Gamma$  fixes a quadrangle pointwise. Let  $\Phi$ ,  $\Phi^{\gamma} \subseteq \Gamma_{xyz}$ , where x, y, z are non-collinear. Then  $\Phi$  and  $\Phi^{\gamma}$  are conjugate in  $\Gamma_{xyz}$ .

*Proof.* As  $x, y, z, x^{\gamma}, y^{\gamma}, z^{\gamma} \in F(\Phi^{\gamma})$ , by Lemma 6 we can find  $\delta \in C_0(\Phi^{\gamma})$  such that  $x^{\gamma\delta} = x$ ,  $y^{\gamma\delta} = y$ ,  $z^{\gamma\delta} = z$ . Then  $\Phi^{\gamma\delta} = \Phi^{\gamma}$  and  $\gamma \delta \in \Gamma_{xyz}$ .

**Lemma 8.** Suppose that  $\Gamma(B)_{y} \neq 1$ . Then

- (i)  $\Gamma(B)^{S-B}$  is a Frobenius group; and
- (ii)  $\Pi$  is nilpotent.

*Proof.* (i) If  $1 \neq \gamma \in \Gamma(B)_y$  fixes a point  $\neq y$  of S - B, then, as k = |B| > 2,  $\gamma$  fixes a quadrangle pointwise. By Lemma 6(i) and the minimality of v,  $C_0(\gamma)^{F(\gamma)}$  is a known group: PSL(3, q), ASL(2, q) or  $A_7$ . Note that  $B \subset F(\gamma)$ . As  $\Gamma$  is transitive on ordered triples of non-collinear points each such triple belongs to a unique PG(2, q) or AG(2, q), where k = q + 1 or q, respectively. By the Veblen and Young axioms [14] and results of Bruck ([2], pp. 100–101) and Buckenhout [1],  $\mathscr{D}$  consists of the points and lines of a projective or affine space. This contradicts Lemma 3.

(ii) This follows from (i) and a result of Thompson [13].

**Lemma 9.** v-k is even.

*Proof.* Suppose that v-k is odd. By Lemma 4(ii), k is even and v is odd. By Lemmas 8(i) and 5(ii),  $\Gamma(B)_y$  has odd order. By Lemma 1 and [3], there is a Klein group  $\langle \sigma, \sigma' \rangle$  in  $\Gamma_{yB}$  such that  $\sigma, \sigma'$  and  $\sigma \sigma'$  are conjugate in  $\Gamma_{yB}$ .

By Lemmas 8(i) and 5(ii), if  $\sigma$  fixes a line  $B_0$  and a point  $\notin B_0$ , then  $\sigma$  fixes additional points not in  $B_0$ . In particular,  $\sigma$  fixes a point  $y_1 \notin B$ ,  $y_1 \neq y$ . As v-k is odd,  $\sigma$  fixes a point  $y_2 \notin y_1$ , and then  $\sigma$  fixes at least 3 points  $\notin y_1$  and at least 3 points  $\notin y_2$ . Thus,  $\sigma$  fixes a quadrangle pointwise. Also,  $4 < |F(\sigma)| \equiv 1 \pmod{2}$ , and  $|F(\sigma) - B \cap F(\sigma)| = |C_H(\sigma)|$  is odd (Lemma 2). We can apply Lemma 6 to  $\sigma$ .

Suppose that  $F(\sigma)$  contains 3 non-collinear points. By the minimality of v,  $F(\sigma)$  and the lines meeting it in at least 2 points form a projective plane PG(2,q) with q odd, and  $C_0(\sigma)^{F(\sigma)}$  is PSL(3,q). In particular,  $C_H(\sigma)$  is elementary abelian of order  $q^2$ , and  $C(\sigma)_{yB}$  acts irreducibly on  $C_H(\sigma)$ . By the Brauer-Wielandt Theorem [16], |H| divides  $|C_H(\sigma)||C_H(\sigma')||C_H(\sigma')|$ . Thus, H is nilpotent. One of  $\sigma$ ,  $\sigma'$ ,  $\sigma \sigma'$ , say  $\sigma$ , centralizes an element  $\pm 1$  of Z(H). As  $C(\sigma)_{yB}$  acts irreducibly on  $C_H(\sigma)$ ,  $C_H(\sigma) \leq Z(H)$ . However,  $\sigma$ ,  $\sigma'$  and  $\sigma \sigma'$  are conjugate in  $\Gamma_{yB}$ , so that also  $C_H(\sigma')$  and  $C_H(\sigma')$  are inside Z(H). Then

$$\Pi = C_{\Pi}(\sigma) \ C_{\Pi}(\sigma') \ C_{\Pi}(\sigma \ \sigma')$$

is abelian, contradicting Lemma 5(ii).

Thus, no 3 points of  $F(\sigma)$  are collinear. We next note that  $|F(\sigma) \cap F(\sigma')| = 1$ . For otherwise, as  $|F(\sigma)|$  is odd and  $\sigma'$  acts on  $F(\sigma)$ , we can find 3 points  $y, y_1, y_2$  in  $F(\sigma) \cap F(\sigma')$ . Set  $Y = y_1 y_2$ . Then  $\langle \sigma, \sigma' \rangle \leq \Gamma_{yy_1y_2}$  and each element  $\pm 1$  of  $\langle \sigma, \sigma' \rangle$  inverts  $\Pi(y, y_1)_Y$  (see Lemma 1), which is impossible.

By Lemma 2 and the Brauer-Wielandt Theorem [16],  $|\Pi|=c^3$  where  $c=|C_{\Pi}(\sigma)|$  is odd. Suppose that  $\sigma$  fixes a line B' not meeting  $F(\sigma)$ . Then by Lemma 2,  $c+2=|F(\sigma)|$  divides  $|\Pi(B')|=c^3$ , a contradiction.

Thus,  $\sigma$  fixes just  $\frac{1}{2}(c+2)(c+1)$  lines of  $\mathscr{D}$ . However, if  $z \notin F(\sigma)$  then  $\sigma$  fixes  $zz^{\sigma}$ . Thus,  $\langle \sigma \rangle$  has precisely  $(v-(c+2))/2 = \frac{1}{2}(c+2)(c+1) \cdot (k-2)/2$  nontrivial orbits. Also,  $(k-1)|(v-k)=c^3$  (Lemma 4(ii)). Then  $2(1-(c+2))\equiv (c+2)(c+1)(-1)$  (mod k-1), so that  $2(-c-1)\equiv -(c+2)(c+1)$ , or  $c(c+1)\equiv 0\equiv c^3\pmod{k-1}$ . Thus,  $c\geq k-1$ . However,  $k+c^3-c-2=\frac{1}{2}(c+2)(c+1)(k-2)$  implies that  $k=2(c^2+c+2)/(c+3)>c+1$ , a contradiction.

## **Lemma 10.** *k is odd*.

*Proof* ([9]). Suppose that k is even. An involution  $\sigma \in \Pi$  moves all points  $\notin B$  (Lemma 8), and thus fixes no line  $\neq B$  meeting B.  $\sigma$  fixes  $B^* = y y^{\sigma}$  and fixes no point of  $B^*$ . By Lemma 1 and [3], either  $\Gamma_{yB}^B$  has a normal subgroup PSL(2, q), q > 3, acting on B in its usual representation, or  $\Gamma_{yB}^B$  is solvable.

In either case, the conjugates of  $\sigma$  under  $\Gamma_{xB^*}$  generate a group K transitive on  $B^*$ , and we can find  $\gamma \in \Gamma_{xB^*}$  such that  $\langle \sigma, \sigma^{\gamma} \rangle$  acts on  $B^*$  as a Klein group. By Lemmas 8(i) and 5(ii),  $|\Gamma(B^*)_x|$  is odd, so that  $\langle \sigma, \sigma^{\gamma} \rangle$  is itself a Klein group. There is an involution  $\tau \in \Pi(B^*)$  centralized by  $\langle \sigma, \sigma^{\gamma} \rangle$ . Then  $\tau$  fixes both B and  $B^{\gamma}$ . Since  $\tau \in \Pi(B^*)$  and  $x \in B \cap B^{\gamma}$ , we must have  $B = B^{\gamma}$ . It follows that  $K \leq \Pi(B)_{B^*}$ . However,  $1 \neq K^{B^*} \leq \Gamma_{xB^*}^{B^*}$  and  $K^{B^*}$  is either a sharply transitive group or a Frobenius group (Lemma 8). Consequently,  $K^{B^*}$  is sharply transitive and  $\Gamma_{yB}^{B}$  is solvable. Also,  $K = \Pi(B)_{B^*}$  is faithful on  $B^*$ . In particular, K is elementary abelian of order k. Interchanging the roles of  $\sigma$  and  $\tau$  we find that  $\Pi(B^*)_B$  is faithful and sharply transitive on B.

Let *m* be the number of involutions in  $\Gamma(B)$ . Count in 2 ways the number of ordered triples  $(x, x', \tau)$  with  $\tau$  an involution fixing some line pointwise and  $x \neq x' = x^{\tau}$ :

 $\frac{v(v-1)}{k(k-1)} m(v-k) = v(v-1) \cdot \frac{v-k}{k} \cdot 1.$ 

Thus, m=k-1 and K contains all involutions of  $\Gamma(B)$ . In particular,  $K \leq \Gamma(B)$ . It follows that  $\Gamma_x$  has a normal subgroup  $\Delta > \Gamma(x) K$  such that  $\Delta/\Gamma(x)$  acts on the lines on x as  $PSL(2, 2^e)$ ,  $Sz(2^e)$  or  $PSU(3, 2^e)$  in its usual 2-transitive representation (Shult  $\lceil 11 \rceil$ ).

Consequently, r-1 is a power of 2. Since  $|\Gamma(x)|$  is odd (Lemma 5(iii)), a Sylow 2-subgroup  $\Sigma$  of  $\Gamma(B)$  is faithful and sharply transitive on the lines  $\pm B$  on x. In view of the action of  $\widehat{\Gamma}_x$ , we must have  $\Sigma \leq \Delta$ . Then  $K = Z(\Sigma)$  has order  $2^e = k$ .

Now  $\Gamma(B) = \Pi$ . For  $|\Gamma(B)_y| |k-2$  by Lemma 8(i). Also,  $K \leq \Gamma_B$  implies that  $\Gamma(B)_y$  fixes  $y^K = B^*$ . Then  $\Gamma(B)_y$  fixes  $\geq 2$  points of  $B^*$  and hence is trivial by Lemma 8(i).

Let  $x \in X \neq B$ . Then  $[\Gamma(X)_B, \Gamma(B)_X] \leq \Gamma(X) \cap \Gamma(B) = 1$ , and  $(\Gamma_x)_{BX}$  contains the direct product of two normal subgroups of order  $2^e - 1$  which are conjugate in  $\Gamma_x$ . This is possible only if  $\Gamma(x) \neq 1$ .

Let  $1 \neq \alpha \in \Gamma(x)$ , and let  $\alpha' = \alpha^{\tau'}$  with  $\tau'$  an involution in  $\Gamma(B^*)_B$ . Then  $\alpha^{-1}\alpha' = \beta$  acts on B as an involution without fixed points, so that  $\beta \tau \in \Gamma(B)$  for some involution  $\tau \in \Gamma(B^*)_B$ .

By Lemma 5(i),  $[\Gamma(B), \alpha] = [\Gamma(B), \alpha'] = 1$ , so that  $1 = [\Gamma(B), \beta] = [\beta \tau, \beta] = [\tau, \beta]$  and  $\beta$  fixes  $B^*$ . Then  $\beta \sigma'$  fixes  $\gamma$  for some  $\sigma' \in K$ . Since  $\gamma \subseteq M$  centralizes both  $\gamma \subseteq M$  and  $\gamma \subseteq M$  fixes at least  $\gamma \subseteq M$  points, including all of  $\gamma \subseteq M$ . However,  $\gamma = 1 > k$  by Lemma 4, so that  $\gamma \subseteq M$  is not  $\gamma \subseteq M$ . Then  $\gamma = 1 \ge k^2 > k + 1$ , contradicting Lemma 8.

**Lemma 11.** (i)  $\Pi$  is not nilpotent.

- (ii)  $\Pi = \Gamma(B)$ .
- (iii) Each element of  $\Gamma_{yB}$  of prime order fixes at least 2 points of S-B.

*Proof.* (i) Suppose that  $\Pi$  is nilpotent, and let  $\sigma$  be an involution in  $Z(\Pi)$ . By Lemma 5(i),  $\sigma$  fixes no line  $\pm B$  on x. Since  $\sigma$  fixes  $y y^{\sigma}$ , it follows that k is even, contradicting Lemma 10.

- (ii) Lemma 8 (ii) and (i).
- (iii) Otherwise, such an element would be fixed-point-free on  $\Pi$ , contradicting (i) and a result of Thompson [13].

**Lemma 12.**  $\Gamma_{yB}^{B}$  has no normal subgroup sharply 2-transitive on B.

*Proof* ([9]). Otherwise  $\Gamma(x \, v)_B$  has a unique involution. As k is odd, each involution  $\sigma$  in  $\Gamma(B)$  fixes (v-k)/(k-1)=r-1 lines  $\pm B$ , each of which meets B. If  $\Gamma(B)$  has m involutions then, as in Lemma 10,

$$\frac{v(v-1)}{k(k-1)} m(v-k) = v(v-1) \cdot \frac{v-k}{k-1} \cdot 1,$$

or m=k.

 $|\Gamma(x)|$  is odd. For, let  $\alpha$  be an involution in  $\Gamma(x)$ . Then  $\alpha = \sigma$  on each fixed line  $\pm B$  of  $\sigma$  on x, so that  $\sigma$  fixes at most k lines  $\pm B$ , contradicting Lemma 4(ii).

We may assume that  $\sigma$  fixes xy = X. Then  $\Gamma(X)_B$  centralizes the unique involution  $\sigma$  of  $\Gamma(B)_X$ . In particular,  $C(\sigma)_x$  is transitive on  $B - \{x\}$ . As some

fixed line of  $\sigma$  is not on x (Lemma 5(i)),  $C(\sigma)$  is transitive on B. Thus,  $\sigma$  fixes 1+(r-1)/k lines on x.

 $\Gamma(B)_X \times \Gamma(X)_B$  has just 3 involutions:  $\sigma$ ,  $\tau$  and  $\sigma\tau$ , where  $\tau \in \Gamma(X)_B$ . An involution  $+\sigma$ ,  $\tau$  in  $\Gamma_{BX}$  fixing a line pointwise must agree with  $\sigma\tau$  on B and X, hence is  $\sigma\tau$  (Lemma 11). There are thus j=2 or 3 involutions in  $\Gamma_{BY}$  fixing lines pointwise. Count in 2 ways the number of ordered triples  $(X_1, X_2, \rho)$  with  $X_1, +X_2$  lines on x and  $\rho$  an involution fixing  $X_1$  and  $X_2$  which fixes some line pointwise:  $r(r-1) \cdot j = r m \cdot (1 + (r-1)/k) \cdot (r-1)/k.$ 

Thus, j-1=(r-1)/k. By Lemma 4, j=3 and r-1=2k.

Define a Steiner triple system  $\mathcal S$  as follows: points are the lines on x; triples consist of 3 distinct lines  $X_1, X_2, X_3$  on x such that the involution in  $\Gamma(X_1)_{X_2}$  fixes  $X_3$ . Since 1+(r-1)/k=3,  $X_1$  and  $X_2$  determine  $X_3$  uniquely, and it is easy to see that  $X_1$  and  $X_3$  or  $X_2$  and  $X_3$  determine  $X_2$  or  $X_1$ , respectively, in the same manner.

Clearly,  $\hat{\Gamma}_x$  is 2-transitive, and 1+(r-1)/k=3 implies that there is a Klein group fixing a triple elementwise in which no involution fixes more than 3 points of  $\mathscr{S}$ . By a result of Hall ([2], p. 100) any 3 points of  $\mathscr{S}$ , not in a triple, generate a subsystem PG(2,2) of  $\mathscr{S}$ . Thus,  $\mathscr{S}$  consists of the points and lines of PG(d,2) for some  $d \ge 2$  (Veblen and Young [14]), and it is easy to see that  $d \le 3$ . Now  $\Gamma \approx A_7$ , contradicting the minimality of v.

We can now complete the proof of Theorem 1'. Recall that  $\Gamma_{yB}$  is faithful on B. By Lemmas 1, 10, and 12 and a result of Shult [12],  $\Gamma_{yB}$  has a normal subgroup  $\Delta$  acting (faithfully) on B as  $PSL(2, 2^a)$ ,  $Sz(2^a)$  or  $PSU(3, 2^a)$  in its usual 2-transitive representation. Here  $2^a > 2$ . Let  $x' \in B - \{x\}$ .

We claim that  $|\Gamma_{yxx'}|$  is even. For otherwise, let  $\gamma \in \Delta_{xx'}$  have prime order. By Lemma 11 (iii),  $\gamma$  fixes a point  $\pm y$  not in xx'. Similarly,  $\gamma$  fixes a point  $\pm x'$  not in xy. Thus,  $\gamma$  fixes a quadrangle pointwise. Also, from the structure of  $\Delta$  we find that  $\gamma$  is inverted by an element of  $\Delta$ . Then  $\gamma$  is also inverted by some element of  $\Gamma_{yxx'}$  (Lemma 7). Since we are assuming that  $|\Gamma_{yxx'}|$  is odd, this is impossible.

Since  $\Gamma_{yxx'}$  has even order,  $\Delta$  is not  $Sz(2^a)$ . Let  $\alpha$  be an involution in  $\Gamma_{yxx'}$ . Then  $\alpha$  fixes  $2^e+1$  points of B, where e=a/2 if  $\Delta$  is  $PSL(2,2^a)$  and e=a if  $\Delta$  is  $PSU(3,2^a)$ . Moreover,  $C_{\Delta}(\alpha)$  is  $PSL(2,2^e)$ , and acts on  $F(\alpha) \cap B$  in its usual 2-transitive representation.

Since v-k is even,  $\alpha$  fixes a point of  $B-\{y\}$ . Thus,  $F(\alpha)$  contains a quadrangle. Also,  $|F(\alpha)-F(\alpha)\cap B|$  is even, so that  $|F(\alpha)|$  is odd. We can apply Lemma 6(i) to  $C_0(\alpha)^{F(\alpha)}$ . The points of  $F(\alpha)$ , together with the lines meeting  $F(\alpha)$  at least twice, form one of the following geometries: (i)  $PG(2, 2^e)$ , (ii) AG(2, 3), or (iii) the points and lines of PG(3, 2). Moreover, if (ii) or (iii) holds then  $2^e+1=3$ , so that since  $a \ge 2$  and e=a or a/2 we must have a=2 and  $k=2^2+1=5$ .

(i) Here each fixed line X of  $\alpha$  meets  $F(\alpha)$  in  $2^e + 1$  points. For,  $|X - X \cap F(\alpha)|$  is even. If  $|X \cap F(\alpha)| \neq 2^e + 1$  then  $|X \cap F(\alpha)| = 1$ . By Lemma 2,  $C_{\Pi(X)}(\alpha)$  is transitive on  $|F(\alpha) - X \cap F(\alpha)|$ , and hence contains an involution fixing a single point of  $F(\alpha)$ , which is impossible.

Thus,  $\langle \alpha \rangle$  has

$$(v-(2^{2e}+2^e+1))/2=(2^{2e}+2^e+1)(k-(2^e+1))/2$$

non-trivial orbits. If  $\Delta$  is  $PSL(2, 2^{2e})$  then  $k=2^{2e}+1$ , so that  $v-k=2^{4e}$ , whereas  $\Pi$  is not nilpotent. Thus,  $\Delta$  is  $PSU(3, 2^e)$  and  $k=2^{3e}+1$ . Then

$$r(k-1) < v = (2^{2e} + 2^e + 1)(k-2^e) < 2^{3e}(k-1) < k(k-1),$$

contradicting Lemma 4(ii).

(ii) This time each fixed line of  $\alpha$  meets  $F(\alpha)$  in 1 or 3 points. Suppose that  $\alpha$  fixes j lines meeting  $F(\alpha)$  only in x. Then  $\langle \alpha \rangle$  has

$$(v-9)/2 = 12(5-3)/2 + 9j(5-1)/2$$

non-trivial orbits. Thus,  $v \equiv 0 \pmod{3}$ . However,  $|C_{II}(\alpha)| = 9 - 3$  implies that  $v \equiv k = 5 \pmod{3}$ , a contradiction.

(iii) As above, each fixed line of  $\alpha$  meets  $F(\alpha)$  in 3 points, and (v-15)/2 = 35(5-3)/2. Then v-k=80, whereas  $|C_{II}(\alpha)|=12$  divides v-k.

This contradiction completes the proofs of Theorems 1' and 1.

Precisely as Theorem 1 was a consequence of Theorem 1', Theorem 2 follows from the next result.

- **Theorem 2'.** Let  $\Gamma$  be an automorphism group of a design  $\mathscr{D}$  2-transitive on points and transitive on blocks. Let B be a block and suppose that  $\Gamma_B$  has a normal nilpotent subgroup  $\Pi \leq \Gamma(B)$  transitive on the set of points not on B. Then one of the following holds:
  - (i)  $\mathscr{D}$  consists of the points and hyperplanes of PG(d, q) and  $\Gamma \ge PSL(d+1, q)$ ;
  - (ii)  $\mathcal{D}$  consists of the points and hyperplanes of AG(d, 2) and  $\Gamma = ASL(d, 2)$ ; or
- (iii)  $\mathcal{D}$  is the design associated with  $M_v$ , v=22, 23 or 24, and  $\Gamma$  is  $M_{22}$ , Aut  $M_{22}$ ,  $M_{23}$  or  $M_{24}$ .

*Proof.* Let  $\mathcal{D}$  and  $\Gamma$  yield a counterexample with v minimal. Once again  $\Gamma$  is not 3-transitive. Our notation is the same as before. Lines have at least 3 points.

By Theorem 1',  $\Pi_y \neq 1$ .

**Lemma 13.** The points and lines of  $\mathcal{D}$  do not form PG(d, q).

*Proof.* Otherwise, B is an e-subspace for some e,  $1 \le e < d-1$ . Then  $v-k = (q^{d+1}-q^{e+1})/(q-1)$  is divisible by p, where p is the prime dividing q. Let  $\alpha$  be an element of order p in  $Z(\Pi)$ . Then  $\alpha$  fixes no point not in B and fixes some (e+1)-space containing B. Since  $\Pi$  is transitive on the (e+1)-spaces containing B,  $\alpha$  fixes each such (e+1)-space and  $\Pi$  is transitive on the hyperplanes containing B.

On the other hand, as in Lemma 3 we may assume that  $\Pi$  induces a collineation group of PG(d-e,q) in which  $\alpha$  is a non-trivial elation. Thus,  $\Pi$  fixes a hyperplane containing B, namely, the axis of  $\alpha$ . This is a contradiction.

Lemma 14. Blocks are lines.

*Proof.* This is proved precisely as in Lemma 4.

**Lemma 15.** Let  $1 \neq \alpha \in Z(\Pi)$ . Then  $\alpha$  fixes no line meeting B.

*Proof.* Suppose that  $\alpha$  fixes a line meeting B at x. The transitivity of  $\Pi$  implies that  $\alpha \in \Gamma(x)$ . Thus,  $Z(\Pi) \preceq \Gamma_B$ ,  $Z(\Pi) \cap \Gamma(x) \neq 1$  and  $Z(\Pi)$  acts regularly on S-B. In view of Lemma 13, this contradicts  $\lceil 8 \rceil$ .

**Lemma 16.** Let  $y \notin B$  and let  $\gamma \in \Pi_y$  have prime order p. If  $x_1$  and  $y_1$  are distinct points of  $F(\gamma)$  then the line  $x_1 y_1$  joining them is contained in  $F(\gamma)$ .

*Proof.* Since  $\gamma$  normalizes  $\Pi(x_1 y_1)$  it centralizes an element  $\alpha \in Z(\Pi(x_1 y_1))$  of order p. By Lemma 15,  $\alpha$  fixes no line  $\pm x_1 y_1$  on  $x_1$ . By Gleason's Lemma ([2], p. 191),  $C(\gamma)_{x_1}$  is transitive on the lines through  $x_1$  containing at least 2 fixed points of  $\gamma$ .

First, take  $x_1 = x$  in order to find that the lemma holds for each line  $x y_1$  meeting F(y) at least twice. Then take  $x_1 \neq x$ , so that  $x x_1 \subseteq F(y)$  and hence, by the preceding paragraph,  $x_1 y_1 \subseteq F(y)$ .

We can now complete the proof of Theorem 2'. Let  $\mathscr{D}^*$  be a set of points and lines of  $\mathscr{D}$  minimal with respect to the properties: (i)  $\mathscr{D}^*$  contains 3 non-collinear points, (ii) if  $x_1$  and  $y_1$  are distinct points of  $\mathscr{D}^*$  then  $x_1 y_1$  is in  $\mathscr{D}^*$ , and (iii) if a line is in  $\mathscr{D}^*$  so are all of its points. By Lemma 16,  $\mathscr{D}^*$  is not all of  $\mathscr{D}$ .

We may assume that B and y are in  $\mathcal{D}^*$ . Clearly,  $\mathcal{D}^*$  contains a quadrangle.

Let  $y \neq y' \notin B$ , where  $y' \in \mathcal{D}^*$ . Then  $y' = y^{\beta}$  for some  $\beta \in \Pi$ . It follows that  $\mathcal{D}^* \cap \mathcal{D}^{*\beta}$  contains B and y'. Then  $\mathcal{D}^* \cap \mathcal{D}^{*\beta}$  satisfies (i), (ii), and (iii), so that  $\mathcal{D}^{*\beta} = \mathcal{D}^*$ .

Thus,  $\mathscr{D}^*$  and  $(\Gamma_{\mathscr{D}^*})^{\mathscr{D}^*}$  satisfy the hypotheses of Theorem 2'. Consequently,  $\mathscr{D}^*$  is a projective plane. Since  $\Gamma$  is transitive on triples of non-collinear points,  $\mathscr{D}$  is a projective space (Veblen and Young [14]). This contradicts Lemma 13, and completes the proof of Theorems 2' and 2.

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