Math 232, Winter 2017 Boris Botvinnik

Summary on Lecture 15, February 17, 2016

More on Rooted Trees

Let $m \ge 1$. Recall that a rooted tree (T, r) is a *complete* m-ary tree if every vertex of T has either m children or no children. Mostly we are interested in the case m = 2.

Lemma 1. Let (T,r) be a complete binary tree. Then |V(T)| is odd.

Exercise. Prove Lemma 1 by induction.

We would like to count how many complete binary trees are there with 2n+1 vertices.

Let (T,r) be a complete binary tree with 2n+1 vertices. We use preorder listing to give a a list of all vertices (starting with the root): $rv_1v_2...v_{2n}$. We notice that every move from v_i to v_{i+1} has a direction: its either left (L) or right (R). Hence the list $rv_1v_2...v_{2n}$ gives a sequence of 2n L's and R's. Then we notice:

- We visit first the "left" child, then the "right" one. Thus if we count how many L's and R's from the beginning to a given spot, we'll get that the number of L's is greater or equal to the number of R's.
- There are n L's and n R's.

We have seen this problem before, and conclude that the number of such listings (and, consequently, the number of complete binary graphs with 2n + 1 vertices) is nothing but the Catalan number, namely, $\frac{1}{n+1} \binom{2n}{n}$.

Recall definition of the Catalan numbers. Let us consider the xy-plane, and two types of moves:

$$R: (x,y) \mapsto (x+1,y), \quad U: (x,y) \mapsto (x,y+1).$$

We are allowed to make the moves R and U to get from the point (0,0) to the point (n,n). A path consisting of only the moves R and U is called **monotonic**.

Warm-up question: How many monotonic paths are there from (0,0) to (n,n)?

This is easy. Indeed, any monotonic path can be recorded as a sequence of n R's and n U's. A total number of moves is 2n; thus it is enough to choose n slots for R's (or n U's). We obtain $\binom{2n}{n}$ paths.

A monotonic path from (0,0) to (n,n) is **dangerous** if it crosses the diagonal.

Actual question: How many non-dangerous monotonic paths are there from (0,0) to (n,n)?

Let n = 6. Then the paths

RRURUURURURU is non-dangerous,

RRURUURUURR is dangerous.

To distinguish dangerous and non-dangerous paths, we count how many R and U moves did we make at every step:

10 20 21 31 32 33 43 44 54 55 65 66 R R U R U U R U R U R U is non-dangerous,

↓ ↓ 10 20 21 31 32 33 43 44 45 46 56 56 R R U R U U R U U R R is dangerous

Moreover, once the number of U-moves gets greater than the number of R-moves, we use the red color. Then, once the first red indicator appears, we write new path, where we change the path after the dangerous U-move:

all R-moves we turn to U-moves, and all U-moves we turn to R-moves:

$$\begin{array}{c} & & & \downarrow \\ 10\ 20\ 21\ 31\ 32\ 33\ 43\ 44\ 45\ 46\ 56\ 56 \\ R\ R\ U\ R\ U\ U\ R\ U\ U\ R\ R \end{array} \qquad \text{a dangerous path.}$$

In the black portion of the new path, we have 4 R-moves and 5 U-moves; in the red portion, we have 1 R-move and 2 U-moves. Totally, new path has 5 R-moves and 7 U-moves. Thus it is a path from (0,0) to (5,7). We claim that in this way every dangerous path turns to a path from (0,0) to (5,7). Thus we have the answer:

$$\{\# \text{ of all paths}\} - \{\# \text{ of dangerous paths}\} = \left(\begin{array}{c} 12 \\ 6 \end{array}\right) - \left(\begin{array}{c} 12 \\ 5 \end{array}\right).$$

For general n, we do the same. Namely, we consider a dangerous path (first line) and we produce new path below:

$$(k-1)$$
 U's, $(k-1)$ R's U $(n-k)$ U's, $(n-k+1)$ R's $(k-1)$ U's, $(k-1)$ R's U $(n-k)$ R's, $(n-k+1)$ U's

The first path is dangerous since the red marker \Downarrow shows that there are k U's and (k-1) R's, so the path crossed the diagonal. For the new path we changed all U's by R's and all R's by U's **after** the red marker \Downarrow . Totally, for the new path, we have

$$k+n-k+1 = n+1$$
 U's
 $k-1+n-k = n-1$ R's

Thus we have the answer:

$$b_n := \left(\begin{array}{c} 2n \\ n \end{array}\right) - \left(\begin{array}{c} 2n \\ n-1 \end{array}\right) = \frac{1}{n+1} \left(\begin{array}{c} 2n \\ n \end{array}\right).$$

Now we return to trees. Let G = (V, E) be a connected graph without loops and multiple edges. We assume that the vertices of G are ordered, i.e., $V = \{v_1, \ldots, v_n\}$. We would like to find a spanning tree (T, r) (which is depth-first ordered rooted tree).

Here is a pseudocode for a recursive version of the Depth-First-Search algorithm:

Depth-First-Search (G, v)

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Let v:=v_1. Put v to the list T For all edges from v to w in E(G) do if w is not in T then call T(G,w):=\mathbf{Depth\text{-}First\text{-}Search}(G,w), T:=T\cup T(G,w) Return T
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Exercise. Use **Depth-First-Search**(G, v) algorithm for several large graphs. Find non-trivial examples.

Exercise. Study the **Breadth-First-Search** (G, v) algorithm from the textbook and write a pseudocode for its recursive version.