

Summary on Lecture 13, May 2, 2018

More on Chromatic Polynomials

Let  $G = (V, E)$  be a graph, and  $e$  be its edge with vertices  $a$  and  $b$ . We denote by  $G_e$  the graph which is obtained by removing the edge  $e$ . Let  $G'_e$  be a graph which is obtained from  $G_e$  by identifying the vertices  $a$  and  $b$ , see Fig. 3. Last time we proved the following Theorem:

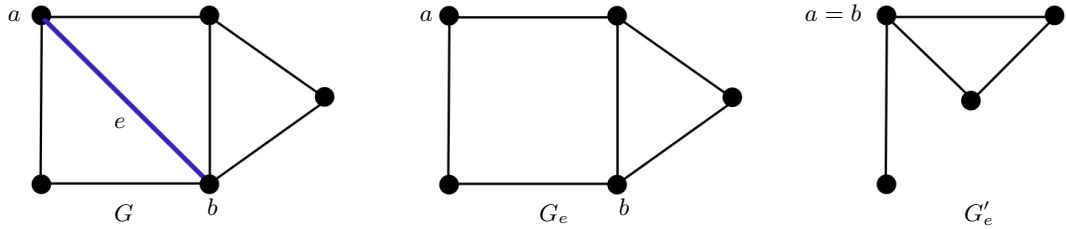


Fig. 3. The graphs  $G$ ,  $G_e$  and  $G'_e$

**Theorem 1.** Let  $G = (V, E)$  be a connected graph, and  $e \in E$ . Then

$$P(G_e, \lambda) = P(G, \lambda) + P(G'_e, \lambda).$$

**Proof.** Let  $e = \{a, b\}$ . Consider the value  $P(G_e, \lambda)$ . There are two possibilities here: either the vertices  $a$  and  $b$  have the same color or not. If they are of different colors, then it corresponds to a proper coloring of  $G$ . If they are the same, then it corresponds to a proper coloring of  $G'_e$ .  $\square$

**Lemma 1.** Let  $T$  be a tree with  $n$  vertices. Then  $P(T, \lambda) = \lambda(\lambda - 1)^{n-1}$ .

**Proof.** Induction on  $n$ . If  $n = 1$ , then obviously  $P(T, \lambda) = \lambda$ . Let  $n > 1$ . We find an edge  $e$  such that  $e = \{a, b\}$ , where  $a$  is a leaf. Then  $T_e$  is a disjoint union of a tree on  $(n - 1)$  vertices and a single vertex, and  $T'_e$  is a tree on  $(n - 1)$  vertices, see Fig. 4

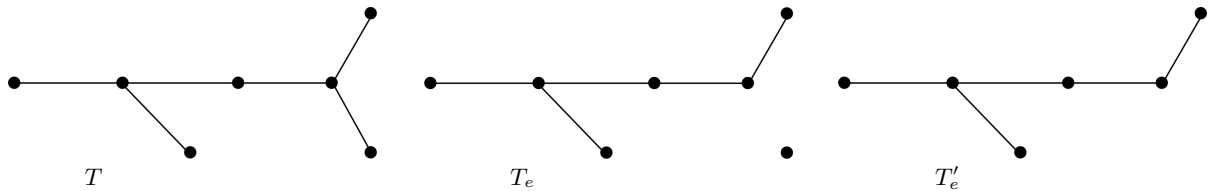


Fig. 4. The graphs  $T$ ,  $T_e$  and  $T'_e$

By induction, we have that  $P(T'_e, \lambda) = \lambda(\lambda - 1)^{n-2}$ , and  $P(T_e, \lambda) = \lambda(\lambda - 1)^{n-2} \cdot \lambda = \lambda^2(\lambda - 1)^{n-2}$ . Then

$$P(T, \lambda) = P(T_e, \lambda) - P(T'_e, \lambda) = \lambda^2(\lambda - 1)^{n-2} - \lambda(\lambda - 1)^{n-2} = \lambda(\lambda - 1)^{n-1}.$$

This completes the induction.  $\square$

**Lemma 2.** Let  $C_n$  be a cycle on  $n$  vertices. Then  $P(C_n, \lambda) = (\lambda - 1)^n + (-1)^n(\lambda - 1)$ .

**Proof.** Induction on  $n$ . If  $n = 3$ ,  $C_3 = K_3$ , so we check

$$\begin{aligned} P(K_3, \lambda) &= \lambda(\lambda - 1)(\lambda - 2) = (\lambda - 1)^3 + (-1)^3(\lambda - 1) = (\lambda - 1)((\lambda - 1)^2 - 1) \\ &= (\lambda - 1)(1 - 2\lambda + \lambda^2 - 1) \\ &= \lambda(\lambda - 1)(\lambda - 2). \end{aligned}$$

Let  $n > 3$ , and  $e$  be an edge of  $C_n$ . Then  $(C_n)_e$  is just a path on  $n$  vertices, and  $(C_n)'_e = C_{n-1}$  is just a cycle on  $(n-1)$  vertices. We have

$$\begin{aligned} P(C_n, \lambda) &= P((C_n)_e, \lambda) - P(C_{n-1}, \lambda) \\ &= \lambda(\lambda-1)^{n-1} - (\lambda-1)^{n-1} - (-1)^{n-1}(\lambda-1) \\ &= (\lambda-1)^n + (-1)^n(\lambda-1). \end{aligned}$$

This completes the induction.  $\square$

**Remark.** We notice that  $P(C_n, 1) = 0$ , and  $P(C_n, 2) = 1 + (-1)^n$ . Hence  $P(C_n, 2) = 2$  if  $n$  is even, and  $P(C_n, 2) = 0$  if  $n$  is odd. Let  $n = 2k + 1$ , then  $P(C_{2k+1}, 3) = 2^{2k+1} - 2 = 2(2^{2k} - 1)$ . We conclude that  $\chi(C_n) = 2$  if  $n$  is even and  $\chi(C_n) = 3$  if  $n = 2k + 1$ .

We define a *wheel on  $(n+1)$  vertices*  $W_{n+1}$  by taking a cycle on  $n$  vertices and connecting each vertex of a cycle to one more  $(n+1)$  the vertex.

**Lemma 3.** *Let  $W_{n+1}$  be a wheel on  $(n+1)$  vertices. Then  $P(W_{n+1}, \lambda) = \lambda(\lambda-2)^n - (-1)^n\lambda(\lambda-2)$ .*

**Proof.** We assign an arbitrary color to the central vertex, then we can use  $(\lambda-1)$  colors for the remaining vertices. We obtain:

$$P(W_{n+1}, \lambda) = \lambda[(\lambda-1-1)^n - (-1)^n(\lambda-1-1)] = \lambda(\lambda-2)^n - (-1)^n\lambda(\lambda-2).$$

This proves Lemma 3.  $\square$

We make two observations about the chromatic polynomial. Let  $G = (V, E)$ , and

$$P(G, \lambda) = a_0 + a_1\lambda + \cdots + a_d\lambda^d.$$

- (1) The coefficient  $a_0 = 0$ . Indeed,  $P(G, 0) = a_0$  and at the same time is a number of proper colorings of  $G$  with 0 colors, i.e.,  $a_0 = 0$ .
- (2) Assume that  $|E| > 0$ . Then  $a_1 + a_2 + \cdots + a_d = P(G, 1) = 0$ . Indeed, if  $G$  contains an edge, then we cannot give proper colorings of  $G$  with one color.

**Lemma 4.** *Let  $G_1$  and  $G_2$  share a single vertex, i.e.,  $V(G_1) \cap V(G_2) = \{v\}$ . Then*

$$P(G_1 \cup G_2, \lambda) = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{\lambda}.$$

**Proof.** Let us give a coloring to  $G_2$ . Then the vertex  $v$  receives some color  $\lambda_0$ . Then we count how many ways to color  $G_1$  so that  $v$  is colored with given color  $\lambda_0$ . Let  $Q(G_1; v, \lambda, \lambda_0)$  be the result. Because of the symmetry with respect to colors,  $Q(G_1; v, \lambda, \lambda_0)$  is the same for any choice of  $\lambda_0$ . On the other hand, by taking every value of  $\lambda_0$ , we count all colorings of the graph  $G_1$ . We conclude that  $Q(G_1; v, \lambda, \lambda_0)\lambda = P(G_1, \lambda)$ , or

$$Q(G_1; v, \lambda, \lambda_0) = \frac{P(G_1, \lambda)}{\lambda}$$

We obtain that all colorings of  $G_1 \cup G_2$  are given by

$$\frac{P(G_1, \lambda)}{\lambda} \cdot P(G_2, \lambda) = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{\lambda}.$$

This completes the proof.  $\square$

**Lemma 5.** *Let  $G_1$  and  $G_2$  be such that  $G_1 \cap G_2 = K_n$ . Then*

$$P(G_1 \cup G_2, \lambda) = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{P(K_n, \lambda)} = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{\lambda^{(n)}}.$$

(Recall that  $\lambda^{(n)} := \lambda(\lambda-1)(\lambda-2)\cdots(\lambda-n+1)$ .)

**Exercise.** Prove Lemma 5.