Math 232, Fall 2017 Boris Botvinnik

## Summary on Lecture 20, November 10, 2017

## Digraphs and shortest paths

Let G = (V, E) be a graph or digraph. We say that G is weighted if we are given a function  $\mathsf{wt} : E \to (0, \infty)$ . In other words, each edge  $e \in E$  is given a positive weight  $\mathsf{wt}(e)$ . It is convenient to have a convention that if  $v, v' \in V(G)$  are not connected by an edge, then a virtual edge (v, v') has weight  $\infty$ . We also accept a convention that a virtual edge (v, v) has zero weight. Then once we have a weighted graph, it makes sense to determine a shortest distance d(v, v') from a vertex v to a vertex v'.

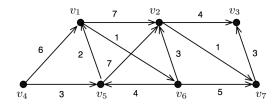


Fig. 1. Weighted digraph  $G_1$ .

**Example.** Consider the digraph  $G_1$  in Fig. 1. It is easy to check that the shortest distance (weight) from  $v_4$  to  $v_1$  is 5 when we take the route  $v_4 \to v_5 \to v_1$ , and it is shorter than a "direct" shot  $v_4 \to v_1$ .

Now the "shortest" paths  $v_4 o v_1 o v_2 o v_3$  and  $v_4 o v_5 o v_2 o v_3$  from  $v_4$  to  $v_3$  have weights 6+7+4=17 and 3+7+4=14, respectively, but the "longer" path  $v_4 o v_5 o v_1 o v_6 o v_2 o v_7 o v_4$  has weight 3+2+1+3+1+3=13, which is less than either of these. Thus length is not directly related to weight.  $\Box$ 

There are special vertices in  $G_1$ , namely,  $v_4$  is a *source*, and  $v_3$  is a *sink*. Indeed,  $v_4$  has only *outbounded* edges, and  $v_3$  only *inbounded* edges.

In the case when a weighted digraph G = (V, E) with a only one source  $v_0$  and one sink  $v_*$  and has no loops and parallel edges), it is called a *scheduling network*. The objective here is to find a shortest path (i.e., with minimal weight) from a source to a sink. This is known as *scheduling problem*. We assume that a weight of every edge is positive. In fact, we resolve more general problem, namely, for each vertex  $v_0 \in V$  we determine

- (i) the distance  $d(v_0, v)$  for every  $v \in V$ ;
- (ii) a shortest path from  $v_0$  to v if such a path exists.

We subdivide the set of vertices V into two subsets:  $V = S \cup \bar{S}$ , where  $v_0 \in S$ , and  $\bar{S} = V \setminus S$ , so that  $S \cap \bar{S} = \emptyset$ . Then we define the distance  $d(v_0, \bar{S})$ :

$$d(v_0, \bar{S}) = \min\{d(v_0, \bar{v}) \mid \bar{v} \in \bar{S} \}.$$

If the distance  $d(v_0, \bar{S})$  is finite, then there exists at least one vertex  $\bar{v}_* \in \bar{S}$  such that  $d(v_0, \bar{S}) = d(v_0, \bar{v}_*)$ . We choose a shortest path P from  $v_0$  to  $\bar{v}_*$ :

$$P: v_0 \to v_1 \to v_2 \to \cdots \to v_k \to v_{k+1} = \bar{v}_*$$

Now we make the following observations:

- (1) The vertices  $v_0, v_1, \ldots v_k$  are in S. Indeed, if  $v_i$  would be in  $\bar{S}$  for some  $i = 1, \ldots, k$ , then the path  $P_i : v_0 \to v_1 \to v_2 \to \cdots \to v_i$  would be shorter than P.
- (ii) The path  $P_i: v_0 \to v_1 \to v_2 \to \cdots \to v_i$  is the shortest path in G from  $v_0$  to  $v_i$  for each  $i = 1, \ldots, k$ . Indeed, if there would be a shorter path  $P'_i$  from  $v_0$  to  $v_i$ , then we would find a path  $P'_i$  composed with the path  $v_i \to \cdots \to v_{k+1} = \bar{v}_*$  which is shorter than P.

**Lemma 1.**  $d(v_0, \bar{S}) = \min\{ d(v_0, u) + \mathsf{wt}(u, \bar{v}) \mid u \in S, \ \bar{v} \in \bar{S} \}.$ 

Then if the minimum occurs when  $u = u_* \in S$  and  $\bar{v} = \bar{v}_* \in \bar{S}$ , then we have that

$$d(v_0, \bar{S}) = d(v_0, u_*) + \mathsf{wt}(u_*, \bar{v}_*). \tag{1}$$

We use the formula (1) to explain the idea of the **Dijkstra's Shortest Path Algorithm**. It goes as follows. Let  $S_0 = \{v_0\}$ , and  $\bar{S}_0 = V \setminus S_0$ . We find  $d(v_0, \bar{S}_0)$ :

$$d(v_0, \bar{S}_0) = \min\{ \ \mathsf{wt}(v_0, \bar{v}) \mid \bar{v} \in \bar{S}_0 \}.$$

Let  $v_1 \in \bar{S}_0$  be such that  $d(v_0, \bar{S}_0) = d(v_0, v_1)$ . Then we define  $S_1 = S_0 \cup \{v_1\}$ , and  $\bar{S}_1 = V \setminus S_1$ . Then we find  $d(v_0, \bar{S}_1)$ :

$$d(v_0, \bar{S}_1) = \min\{ \ d(v_0, v_1) + \mathsf{wt}(v_1, \bar{v}) \mid \bar{v} \in \bar{S}_1 \ \}.$$

We find  $v_2 \in \bar{S}_1$  such that  $d(v_0, \bar{S}_1) = d(v_0, v_1) + \mathsf{wt}(v_1, v_2)$ . If we proceed in such a way, we construct a set  $S_i = \{v_0, v_1, \dots, v_i\}$ , and find the distance  $d(v_0, \bar{S}_i)$ :

$$d(v_0, \bar{S}_i) = \min\{ d(v_0, v_i) + \mathsf{wt}(v_i, \bar{v}) \mid \bar{v} \in \bar{S}_i \},$$

and find the next vertex  $v_{i+1} \in \bar{S}_i$  such that  $d(v_0, \bar{S}_i) = \min\{d(v_0, v_i) + \mathsf{wt}(v_i, v_{i+1})\}$ . The algorithm will stop if either  $d(v_0, \bar{S}_i) = \infty$ , or |S| = |V|. Fig. 2 shows the resulting path for the graph  $G_1$  as above.

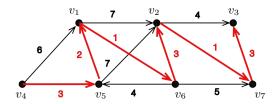


Fig. 2. A shortest path from  $v_4$  to  $v_3$ .

Next time we analyze the Dijkstra's Shortest Path Algorithm in detail.