Math 232, Fall 2017 Boris Botvinnik

## Summary on Lecture 13, October 25, 2017

## More on Chromatic Polynomials

Let G = (V, E) be a graph, and e be its edge with vertices e and e. We denote by e0 the graph which is obtained by removing the edge e1. Let e0 be a graph which is obtained from e0 by identifying the vertices e0 and e0, see Fig. 3. Last time we proved the following Theorem:

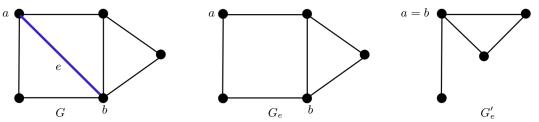


Fig. 3. The graphs G,  $G_e$  and  $G'_e$ 

**Theorem 1.** Let G = (V, E) be a connected graph, and  $e \in E$ . Then

$$P(G_e, \lambda) = P(G, \lambda) + P(G'_e, \lambda).$$

**Proof.** Let  $e = \{a, b\}$ . Consider the value  $P(G_e, \lambda)$ . There are two possibilities here: either the vertices a and b have the same color or not. If they are of different colors, then it corresponds to a proper coloring of G. If they are the same, then it corresponds to a proper coloring of  $G'_e$ .

**Lemma 1.** Let T be a tree with n vertices. Then  $P(T, \lambda) = \lambda(\lambda - 1)^{n-1}$ .

**Proof.** Induction on n. If n = 1, then obviously  $P(T, \lambda) = \lambda$ . Let n > 1. We find an edge e such that  $e = \{a, b\}$ , where a is a leaf. Then  $T_e$  is a disjoint union of a tree on (n - 1) vertices and a single vertex, and  $T'_e$  is a tree on (n - 1) vertices, see Fig. 4

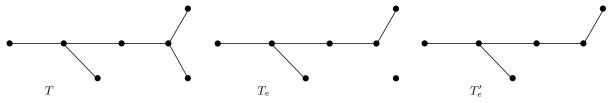


Fig. 4. The graphs T,  $T_e$  and  $T'_e$ 

By induction, we have that  $P(T'_e, \lambda) = \lambda(\lambda - 1)^{n-2}$ , and  $P(T_e, \lambda) = \lambda(\lambda - 1)^{n-2} \cdot \lambda = \lambda^2(\lambda - 1)^{n-2}$ . Then

$$P(T,\lambda) = P(T_e,\lambda) - P(T_e',\lambda) = \lambda^2(\lambda-1)^{n-2} - \lambda(\lambda-1)^{n-2} = \lambda(\lambda-1)^{n-1}.$$

This completes the induction.

**Lemma 2.** Let  $C_n$  be a cycle on n vertices. Then  $P(C_n, \lambda) = (\lambda - 1)^n + (-1)^n (\lambda - 1)$ .

**Proof.** Induction on n. If n = 3,  $C_3 = K_3$ , so we check

$$P(K_3, \lambda) = \lambda(\lambda - 1)(\lambda - 2) = (\lambda - 1)^3 + (-1)^3(\lambda - 1) = (\lambda - 1)((\lambda - 1)^2 - 1)$$
$$= (\lambda - 1)(1 - 2\lambda + \lambda^2 - 1)$$
$$= \lambda(\lambda - 1)(\lambda - 2).$$

Let n > 3, and e be an edge of  $C_n$ . Then  $(C_n)_e$  is just a path on n vertices, and  $(C_n)'_e = C_{n-1}$  is just a cycle on (n-1) vertices. We have

$$P(C_n, \lambda) = P((C_n)_e, \lambda) - P(C_{n-1}, \lambda)$$

$$= \lambda(\lambda - 1)^{n-1} - (\lambda - 1)^{n-1} - (-1)^{n-1}(\lambda - 1)$$

$$= (\lambda - 1)^n + (-1)^n(\lambda - 1).$$

This completes the induction.

**Remark.** We notice that  $P(C_n, 1) = 0$ , and  $P(C_n, 2) = 1 + (-1)^n$ . Hence  $P(C_n, 2) = 2$  if n is even, and  $P(C_n, 2) = 0$  if n is odd. Let n = 2k + 1, then  $P(C_{2k+1}, 3) = 2^{2k+1} - 2 = 2(2^{2k} - 1)$ . We conclude that  $\chi(C_n) = 2$  if n is even and  $\chi(C_n) = 3$  if n = 2k + 1.

We define a wheel on (n+1) vertices  $W_{n+1}$  by taking a cycle on n vertices and connecting each vertex of a cycle to one more (n+1) the vertex.

**Lemma 3.** Let  $W_{n+1}$  be a wheel on (n+1) vertices. Then  $P(W_{n+1},\lambda) = \lambda(\lambda-2)^n + (-1)^n\lambda(\lambda-2)$ .

**Proof.** We assign an arbitrary color to the centeral vertex, then we can use  $(\lambda - 1)$  colors for the remaining vertices. We obtain:

$$P(W_{n+1}, \lambda) = \lambda \left[ (\lambda - 1 - 1)^n + (-1)^n (\lambda - 1 - 1) \right] = \lambda (\lambda - 2)^n + (-1)^n \lambda (\lambda - 2).$$

This proves Lemma 3.

We make two observations about the chromatic polynomial. Let G = (V, E), and

$$P(G, \lambda) = a_0 + a_1 \lambda + \dots + a_d \lambda^d$$
.

- (1) The coefficient  $a_0 = 0$ . Indeed,  $P(G, 0) = a_0$  and at the same time is a number of proper colorings of G with 0 colors, i.e.,  $a_0 = 0$ .
- (2) Assume that |E| > 0. Then  $a_1 + a_2 + \cdots + a_d = P(G, 1) = 0$ . Indeed, if G contains an edge, then we cannot give proper colorings of G with one color.

**Lemma 4.** Let  $G_1$  and  $G_2$  share a single vertex, i.e.,  $V(G_1) \cap V(G_2) = \{v\}$ . Then

$$P(G_1 \cup G_2, \lambda) = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{\lambda}.$$

**Proof.** Let us give a coloring to  $G_2$ . Then the vertex v receives some color  $\lambda_0$ . Then we count how many ways to color  $G_1$  so that v is colored with given color  $\lambda_0$ . Let  $Q(G_1; v, \lambda, \lambda_0)$  be the result. Because of the symmetry with respect to colors,  $Q(G_1; v, \lambda, \lambda_0)$  is the same for any choice of  $\lambda_0$ . On the other hand, by taking every value of  $\lambda_0$ , we count all colorings of the graph  $G_1$ . We conclude that  $Q(G_1; v, \lambda, \lambda_0)\lambda = P(G_1, \lambda)$ , or

$$Q(G_1; v, \lambda, \lambda_0) = \frac{P(G_1, \lambda)}{\lambda}$$

We obtain that all colorings of  $G_1 \cup G_2$  are given by

$$\frac{P(G_1,\lambda)}{\lambda} \cdot P(G_2,\lambda) = \frac{P(G_1,\lambda) \cdot P(G_2,\lambda)}{\lambda}.$$

This completes the proof.

**Lemma 5.** Let  $G_1$  and  $G_2$  be such that  $G_1 \cap G_2 = K_n$ . Then

$$P(G_1 \cup G_2, \lambda) = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{P(K_n, \lambda)} = \frac{P(G_1, \lambda) \cdot P(G_2, \lambda)}{\lambda^{(n)}}.$$

(Recall that  $\lambda^{(n)} := \lambda(\lambda - 1)(\lambda - 2) \cdots (\lambda - n + 1)$ .)

Exercise. Prove Lemma 5.