

# Towards the classification of outer actions of finite groups on Kirchberg algebras

N. Christopher Phillips

University of Oregon

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# Introduction

Possible subtitle: Initiating the Elliott classification program for group actions.

This is work in progress. The intended main theorem has not yet been proved.

Caution: Even the results stated have not all been carefully checked. Don't quote them yet!

# Rough outline

- Goal, results, and background.
  - ▶ The hoped for main theorem.
  - ▶ Some ingredients: Equivariant K-theory and E-theory.
  - ▶ Previous results.
  - ▶ Examples.
- What has been done so far, and general description of the ideas.
  - ▶ The current intermediate result.
  - ▶ How to get from there to the end.
  - ▶ How to get to the current result.
  - ▶ Why only pointwise outer actions?
- Some further details.
  - ▶ The actions on  $\mathcal{O}_2$  and on  $\mathcal{O}_\infty$ .
  - ▶ Equivariant semiprojectivity.
  - ▶ What do we do with equivariant semiprojectivity?
  - ▶ Equivariant semiprojectivity for finite dimensional C\*-algebras.
  - ▶ Equivariant semiprojectivity for certain quasifree actions.

## The goal

The intended main theorem is as follows. (Some items are described afterwards.)

### Conjecture

Let  $G$  be a cyclic group of prime order. Let  $A$  and  $B$  be Kirchberg algebras (purely infinite simple separable nuclear  $C^*$ -algebras) which are unital and satisfy the Universal Coefficient Theorem. Let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(B)$  be pointwise outer actions of  $G$  which belong to a suitable bootstrap class (defined by Manuel Köhler). Suppose the extended K-theory of  $\alpha$  (as defined by Köhler) is isomorphic to that of  $\beta$ . Then  $\alpha$  and  $\beta$  are conjugate.

Conjugacy is isomorphism of dynamical systems: there exists an isomorphism  $\varphi: A \rightarrow B$  such that  $\beta_g = \varphi \circ \alpha_g \circ \varphi^{-1}$  for all  $g \in G$ .

The action  $\alpha: G \rightarrow \text{Aut}(A)$  is called pointwise outer if for every  $g \in G \setminus \{1\}$ , the automorphism  $\alpha_g$  is not inner.

# Extended K-theory

## Conjecture

Let  $G$  be a cyclic group of prime order. Let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(B)$  be pointwise outer actions of  $G$  which belong to a suitable bootstrap class. Suppose the extended K-theory of  $\alpha$  is isomorphic to that of  $\beta$ . Then  $\alpha$  and  $\beta$  are conjugate.

The extended K-theory  $EK^G(A)$  consists of three groups:

- $K_*(A)$ .
- $K_*^G(A)$ .
- With  $M$  being the mapping cone of the unital embedding of  $\mathbb{C}$  in  $C(G)$ , the group  $KK_G^*(M, A)$ .

(See the next slides for more on equivariant K-theory and KK-theory.)

$EK^G(A)$  has additional structure, given by various operations, which must be preserved by isomorphisms.

If the actions are in Köhler's bootstrap class, then the algebras automatically satisfy the UCT.

## A brief summary of equivariant K-theory

Let  $\alpha: G \rightarrow \text{Aut}(A)$  be an action of a compact group  $G$  on a unital  $C^*$ -algebra  $A$ . The ordinary  $K_0$ -group of  $A$  is made from finitely generated projective modules over  $A$ . (If we use right modules, the projection  $p \in M_n(A)$  corresponds to the module  $pA^n$ .)

In a similar way, the equivariant  $K_0$ -group of  $A$ , written  $K_0^G(A)$ , is made from finitely generated projective modules over  $A$  which carry a compatible action of  $G$ . (It is a bit more complicated than just  $G$ -invariant projections in  $M_\infty(A)$ .)

One generalizes to nonunital algebras and to  $K_1^G(A)$  in the usual way, by unitizing and suspending. (The action of  $G$  in the suspension direction is trivial.)

The Green-Julg Theorem tells us that  $K_*^G(A) \cong K_*(C^*(G, A, \alpha))$ .

$K_*^G(A)$  is a module over the representation ring  $R(G) = K_0^G(\mathbb{C})$ , the Grothendieck group made from finite dimensional representations of  $G$ . (Tensor the  $A$ -module with the representation.)

## Equivariant KK-theory and E-theory

There are also equivariant versions of KK-theory and E-theory, denoted  $KK_G^*(A, B)$  and  $E_*^G(A, B)$ . We will use E-theory. The convenient definition is in terms of asymptotic morphisms.

### Definition

Let  $A$  and  $B$  be separable  $C^*$ -algebras. An *asymptotic morphism* from  $A$  to  $B$  is a family  $\varphi = (\varphi_t)_{t \in [0, \infty)}$  of functions  $\varphi_t: A \rightarrow B$  such that:

- $t \mapsto \varphi_t(a)$  is continuous for all  $a \in A$ .
- For all  $a, b \in A$  and  $\lambda \in \mathbb{C}$ , as  $t \rightarrow \infty$  the quantities

$$\begin{aligned} & \varphi_t(a + b) - \varphi_t(a) - \varphi_t(b), & \varphi_t(\lambda a) - \lambda \varphi_t(a), \\ & \varphi_t(ab) - \varphi_t(a)\varphi_t(b), & \text{and} & \varphi_t(a^*) - \varphi_t(a)^* \end{aligned}$$

all converge to zero.



## Equivariant KK-theory and E-theory (continued)

An asymptotic morphism from  $A$  to  $B$  is a family of functions  $\varphi_t: A \rightarrow B$  such that:

- $t \mapsto \varphi_t(a)$  is continuous for all  $a \in A$ .
- For all  $a, b \in A$  and  $\lambda \in \mathbb{C}$ , as  $t \rightarrow \infty$  the quantities

$$\begin{aligned} \varphi_t(a+b) - \varphi_t(a) - \varphi_t(b), & \quad \varphi_t(\lambda a) - \lambda \varphi_t(a), \\ \varphi_t(ab) - \varphi_t(a)\varphi_t(b), & \quad \text{and} \quad \varphi_t(a^*) - \varphi_t(a)^* \end{aligned}$$

all converge to zero.

In other words,  $(\varphi_t)_{t \in [0, \infty)}$  is asymptotically a homomorphism.

If  $G$  is compact second countable and  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(B)$  are actions of  $G$  on  $A$  and  $B$ , for an equivariant asymptotic morphism we ask in addition that

$$\beta_g \circ \varphi_t(a) - \varphi_t \circ \alpha_g(a) \rightarrow 0$$

for all  $a \in A$  and  $g \in G$ .

The set of homotopy classes of equivariant asymptotic morphism from  $A$  to  $B$  is written  $[[A, B]]_G$ .

## Equivariant KK-theory and E-theory (continued)

For an equivariant asymptotic morphism we ask in addition that

$$\beta_g \circ \varphi_t(a) - \varphi_t \circ \alpha_g(a) \rightarrow 0$$

for all  $a \in A$  and  $g \in G$ .

$[[A, B]]_G$  is the set of homotopy classes of equivariant asymptotic morphisms from  $A$  to  $B$ .

We take  $SA = C_0((0, 1), A)$ , with the trivial action of  $G$  on  $C_0((0, 1))$ . We let  $G$  act on  $K = K(I^2 \otimes L^2(G))$  by conjugation by the direct sum of infinitely many copies of the regular representation of  $G$ . Then define  $E^G(A, B) = [[SA, K \otimes SB]]_G$ .

We take  $E_0^G(A, B) = E^G(A, B)$  and  $E_1^G(A, B) = E^G(SA, B)$ . One has Bott periodicity, so take  $E_*^G(A, B) = E_0^G(A, B) \oplus E_1^G(A, B)$ .

## Equivariant KK-theory and E-theory (continued)

$[[A, B]]_G$  is the set of homotopy classes of equivariant asymptotic morphisms from  $A$  to  $B$ .

$$E^G(A, B) = [[SA, K \otimes SB]]_G.$$

With some care, one can compose homotopy classes of equivariant asymptotic morphisms from  $A$  to  $B$  and from  $B$  to  $C$ . This gives a product  $E^G(A, B) \times E^G(B, C) \rightarrow E^G(A, C)$ . In particular,  $E_0^G(A, A)$  is a ring, and one can make  $E_*^G(A, A)$  into a ring.

$E_0^G(\mathbb{C}, \mathbb{C}) = R(G)$ , the representation ring mentioned above.

For  $G$  cyclic of prime order, one can view  $EK_*^G(A)$  as  $E_*^G(\mathbb{C} \oplus C(G) \oplus M, A)$ , which is a module over

$$R_G = E_*^G(\mathbb{C} \oplus C(G) \oplus M, \mathbb{C} \oplus C(G) \oplus M).$$

Note that  $R(G)$  is contained in  $R_G$ , but  $R_G$  is much bigger.

## The Universal Coefficient Theorem

$EK_*^G(A) = E^G(\mathbb{C} \oplus C(G) \oplus M, A)$ , which is a module over  $R_G = E_*^G(\mathbb{C} \oplus C(G) \oplus M, \mathbb{C} \oplus C(G) \oplus M)$ .

Without the group: for  $A$  in a suitable class (the bootstrap class), there is a natural short exact sequence

$$0 \longrightarrow \text{Ext}_{\mathbb{Z}}^1(K_*(A), K_*(B)) \longrightarrow E_*(A, B) \longrightarrow \text{Hom}_{\mathbb{Z}}(K_*(A), K_*(B)) \longrightarrow 0.$$

(Rosenberg-Schochet.) The first map has degree 1.

Köhler proved a similar result for  $C^*$ -algebras with an action of a cyclic group  $G$  of prime order, computing  $E_*^G(A, B)$ , using  $EK_*^G(-)$  in place of  $K_*(-)$ , and using extensions over  $R_G$  instead of over  $\mathbb{Z}$ . For actions of  $G$  on  $A$  in a suitable bootstrap class, and arbitrary actions on  $B$ :

$$\begin{aligned} 0 \longrightarrow \text{Ext}_{R_G}^1(EK_*^G(A), EK_*^G(B)) &\longrightarrow E_*^G(A, B) \\ &\longrightarrow \text{Hom}_{R_G}(EK_*^G(A), EK_*^G(B)) \longrightarrow 0. \end{aligned}$$

## Previous result: Classification of Rokhlin actions

The following is Theorem 4.2 of M. Izumi, *Finite group actions on  $C^*$ -algebras with the Rokhlin property. II*, Adv. Math. **184**(2004), 119–160.

### Theorem

Let  $A$  be a unital UCT Kirchberg algebra, and let  $G$  be a finite group. Let  $\alpha, \beta: G \rightarrow \text{Aut}(A)$  be actions with the Rokhlin property. Then  $\alpha$  is conjugate to  $\beta$  if and only if the actions of  $G$  they induce on  $K_*(A)$  are equal.

We omit the definition of the Rokhlin property.

Interpreted as a theorem about conjugacy of dynamical systems, the invariant involved includes  $A$ , equivalently, it includes  $K_*(A)$  and  $[1_A] \in K_0(A)$ .

There are severe restrictions on the possible actions of  $G$  on  $K_*(A)$ .

## Previous result: Classification of actions of $\mathbb{Z}_2$ on $\mathcal{O}_2$

The following is essentially a restatement of part of Theorem 4.8 of M. Izumi, *Finite group actions on  $C^*$ -algebras with the Rohlin property. I*, Duke Math. J. **122**(2004), 233–280.

$\mathcal{O}_2$  is the Cuntz algebra.

### Theorem

Let  $\alpha, \beta: \mathbb{Z}_2 \rightarrow \text{Aut}(\mathcal{O}_2)$  be actions which are pointwise outer but strongly approximately inner. Then  $\alpha$  is conjugate to  $\beta$  if and only if  $K_*^{G,\alpha}(\mathcal{O}_2) \cong K_*^{G,\beta}(\mathcal{O}_2)$  via an isomorphism which sends  $[1]$  to  $[1]$ .

$K_*^{G,\alpha}(A)$  is the equivariant K-theory of  $A$  with respect to the group action  $\alpha$ .

$K_*(\mathcal{O}_2)$  isn't needed in the invariant, since it is zero.

We omit the definition of strong approximate innerness.

## Previous result: Quasifree actions on $\mathcal{O}_\infty$

Quasifree actions are described starting on the next slide.

### Theorem

Let  $G$  be a finite group. Then any two quasifree actions of  $G$  on  $\mathcal{O}_\infty$  coming from injective representations of  $G$  are conjugate.

This is in a recent preprint of Goldstein and Izumi, written after I started this project but based on work done earlier.

## Cuntz algebras

Let  $d \in \{2, 3, \dots\}$ . Recall that the Cuntz algebra  $\mathcal{O}_d$  is the universal  $C^*$ -algebra generated by elements  $s_1, s_2, \dots, s_d$  satisfying

$$s_1^* s_1 = s_2^* s_2 = \dots = s_d^* s_d = 1 \quad \text{and} \quad s_1 s_1^* + s_2 s_2^* + \dots + s_d s_d^* = 1.$$

The first relation says that  $s_1, s_2, \dots, s_d$  are isometries, and the second says that they have orthogonal ranges which add up to 1.

The infinite Cuntz algebra  $\mathcal{O}_\infty$  is the universal  $C^*$ -algebra generated by elements  $s_1, s_2, \dots$  satisfying

$$s_j^* s_j = 1 \quad \text{for } j \in \mathbb{Z}_{>0} \quad \text{and} \quad s_j s_k^* = 0 \quad \text{for distinct } j, k \in \mathbb{Z}_{>0}.$$

The  $s_j$  are isometries with pairwise orthogonal ranges.



## Examples: Quasifree actions on Cuntz algebras

Relations:  $s_1^*s_1 = s_2^*s_2 = \cdots = s_d^*s_d = 1$  and  $s_1s_1^* + s_2s_2^* + \cdots + s_ds_d^* = 1$ .

Let  $\rho: G \rightarrow L(\mathbb{C}^d)$  be a unitary representation of  $G$ . Write

$$\rho(g) = \begin{pmatrix} \rho_{1,1}(g) & \cdots & \rho_{1,d}(g) \\ \vdots & \ddots & \vdots \\ \rho_{d,1}(g) & \cdots & \rho_{d,d}(g) \end{pmatrix}$$

for  $g \in G$ . Then there exists a unique action  $\beta^\rho: G \rightarrow \text{Aut}(\mathcal{O}_d)$  such that

$$\beta_g^\rho(s_k) = \sum_{j=1}^d \rho_{j,k}(g)s_j$$

for  $j = 1, 2, \dots, d$ . (This can be checked by a computation.)

Examples:

- For  $G = \mathbb{Z}_n$ , choose  $n$ -th roots of unity  $\zeta_1, \zeta_2, \dots, \zeta_d$  and let a generator of the group multiply  $s_j$  by  $\zeta_j$ .
- Take  $d = \text{card}(G)$ , and label the generators  $s_g$  for  $g \in G$ . Then define  $\beta^G: G \rightarrow \text{Aut}(\mathcal{O}_d)$  by  $\beta_g^G(s_h) = s_{gh}$  for  $g, h \in G$ .

## Examples: Quasifree actions (continued)

Relations:  $s_1^* s_1 = s_2^* s_2 = \cdots = s_d^* s_d = 1$  and  $s_1 s_1^* + s_2 s_2^* + \cdots + s_d s_d^* = 1$ .

$\rho: G \rightarrow L(\mathbb{C}^d)$  is a unitary representation.

$$\rho(g) = \begin{pmatrix} \rho_{1,1}(g) & \cdots & \rho_{1,d}(g) \\ \vdots & \ddots & \vdots \\ \rho_{d,1}(g) & \cdots & \rho_{d,d}(g) \end{pmatrix} \quad \text{and} \quad \beta_g^\rho(s_k) = \sum_{j=1}^d \rho_{j,k}(g) s_j.$$

An analogous construction gives actions on  $\mathcal{O}_\infty$ .

Example: Label the generators of  $\mathcal{O}_\infty$  as  $s_{g,j}$  for  $g \in G$  and  $j \in \mathbb{Z}_{>0}$ .

Define  $\iota: G \rightarrow \text{Aut}(\mathcal{O}_\infty)$  by  $\iota_g(s_{h,j}) = s_{gh,j}$  for  $g \in G$  and  $j \in \mathbb{Z}_{>0}$ .

This is the quasifree action coming from the direct sum of infinitely many copies of the regular representation. One can compute its equivariant K-theory, getting  $K_0^G(\mathcal{O}_\infty) \cong R(G)$  (recall that this is the representation ring of  $G$ ), with  $[1] \mapsto 1$ , and  $K_1^G(\mathcal{O}_\infty) = 0$ .

## Example: The tensor flip

Define  $\varphi: \mathcal{O}_\infty \otimes \mathcal{O}_\infty \rightarrow \mathcal{O}_\infty \otimes \mathcal{O}_\infty$  by  $\varphi(a \otimes b) = b \otimes a$  for  $a, b \in \mathcal{O}_\infty$ . Using  $\mathcal{O}_\infty \otimes \mathcal{O}_\infty \cong \mathcal{O}_\infty$ , this defines an action of  $\mathbb{Z}_2$  on  $\mathcal{O}_\infty$ , the tensor flip.

Is this action conjugate to the action  $\iota$  above? (It is equivariantly strongly selfabsorbing. I don't yet know the equivariant K-theory, but I suspect it is  $R(G)$ .)

More generally, subgroups of the symmetric group  $S_n$  act on  $(\mathcal{O}_\infty)^{\otimes n}$ .

# Methods

Recall the classification conjecture:

## Conjecture

Let  $G$  be a cyclic group of prime order. Let  $A$  and  $B$  be unital UCT Kirchberg algebras. Let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(B)$  be pointwise outer actions of  $G$  in Köhler's bootstrap class. Suppose the extended K-theory of  $\alpha$  (as defined by Köhler) is isomorphic to that of  $\beta$ . Then  $\alpha$  and  $\beta$  are conjugate.

Three basic methods go into the work:

- Reduction to known results in the case in which there is no group.
- Imitating known arguments from the case in which there is no group.
- New arguments.

## The current status

We don't have equivariant classification yet. We nearly have:

### Conjecture

Let  $G$  be a finite group, let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(B)$  be pointwise outer actions on unital Kirchberg algebras, and let  $\gamma: G \rightarrow \text{Aut}(C)$  be any action on a unital  $C^*$ -algebra  $C$ . Let  $t \mapsto \varphi_t$  and  $t \mapsto \psi_t$  be full equivariant asymptotic morphisms from  $A$  to  $K \otimes B \otimes C$ . Suppose  $\varphi$  and  $\psi$  are homotopic (as equivariant asymptotic morphisms). Then they are equivariantly asymptotically unitarily equivalent.

Equivariant asymptotic unitary equivalence means that there is a continuous path  $t \mapsto u_t$  of  $G$ -invariant unitaries in  $B$  such that  $\lim_{t \rightarrow \infty} (u_t \varphi_t(a) u_t^* - \psi_t(a)) = 0$  for all  $a \in A$ .

We omit the definition of fullness.

We can use the trivial action of  $G$  on  $K$ . (This is because we assume the action on  $B$  is pointwise outer.)

## To get the rest of the way

### Conjecture

Let  $G$  be a finite group, let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(B)$  be pointwise outer actions on unital Kirchberg algebras, and let  $\gamma: G \rightarrow \text{Aut}(C)$  be any action on a unital  $C^*$ -algebra. Then homotopic full equivariant asymptotic morphisms from  $A$  to  $K \otimes B \otimes C$  are equivariantly asymptotically unitarily equivalent.

What is needed to get from here to the goal:

- Show that if  $\alpha: G \rightarrow \text{Aut}(A)$ ,  $\beta: G \rightarrow \text{Aut}(B)$ , and  $\gamma: G \rightarrow \text{Aut}(C)$  are as in the conjecture, then  $E_0^G(A, K \otimes B \otimes C)$  is the set of homotopy classes of full equivariant asymptotic morphisms from  $A$  to  $K \otimes B \otimes C$ . (Before, we had  $SA$  and  $SB$  for  $A$  and  $B$ .)
- An equivariant approximate intertwining argument, to show that for pointwise outer actions on unital Kirchberg algebras,  $E^G$ -equivalence implies equivariant isomorphism.
- The Universal Coefficient Theorem for actions of  $G$ .

## To get the rest of the way (continued)

What is needed to get from homotopy implies equivariant asymptotic unitary equivalence to the goal:

- Show that if  $\alpha: G \rightarrow \text{Aut}(A)$ ,  $\beta: G \rightarrow \text{Aut}(B)$ , and  $\gamma: G \rightarrow \text{Aut}(C)$  are as in the conjecture, then  $E_0^G(A, K \otimes B \otimes C)$  is the set of homotopy classes of full equivariant asymptotic morphisms from  $A$  to  $K \otimes B \otimes C$ .

The point is that one does not have to suspend. The equivariant versions of the ingredients I used here in the nonequivariant case are mostly already known.

- An equivariant Elliott approximate intertwining argument, to show that for pointwise outer actions on unital Kirchberg algebras,  $KK_G$ -equivalence implies equivariant isomorphism.

This should be standard.

- The Universal Coefficient Theorem for actions of  $G$ .

Use Köhler's Universal Coefficient Theorem when  $G$  is cyclic of prime order. (This is the only place we don't allow an arbitrary finite group.)

## Used to prove the conjecture

We want to show homotopy implies equivariant asymptotic unitary equivalence for suitable equivariant asymptotic morphisms. Here are some things that are used.

- Computation of equivariant K-theory for quasifree actions on Cuntz algebras. (Quasifree actions were defined above.)
- Equivariant semiprojectivity for certain quasifree actions on Cuntz algebras.
- A pointwise outer action of a finite group on a unital Kirchberg algebra has the tracial Rokhlin property.
- Equivariant analogs of Kirchberg's absorption theorems.



## Used to prove the conjecture (continued)

Some things used to prove that homotopy implies equivariant asymptotic unitary equivalence for suitable equivariant asymptotic morphisms:

- Computation of equivariant K-theory for quasifree actions on Cuntz algebras.  
This uses fairly standard methods, and much is already known. We omit the details.
- Equivariant semiprojectivity for certain quasifree actions on Cuntz algebras.  
This requires some new work. See the last part of the talk.
- A pointwise outer action of a finite group on a unital Kirchberg algebra has the tracial Rokhlin property.  
This follows easily from work of Nakamura. We omit the definition and details.
- Equivariant analogs of Kirchberg's absorption theorems.  
We say a little more about these below. Some of this was done independently by Goldstein and Izumi.

## Why pointwise outer actions?

Here are three main ingredients in the proof of classification without the group. The first two are Kirchberg's absorption theorems; in the nonequivariant case, the third is trivial.

### Theorem

Let  $A$  be a simple separable unital nuclear  $C^*$ -algebra. Then  $\mathcal{O}_2 \otimes A \cong \mathcal{O}_2$ .

### Theorem

Let  $A$  be a Kirchberg algebra. Then  $\mathcal{O}_\infty \otimes A \cong A$ .

(In fact, there is an isomorphism from  $A$  to  $\mathcal{O}_\infty \otimes A$  which is asymptotically unitarily equivalent to the map  $a \mapsto 1 \otimes a$ .)

### Theorem

Let  $A$  be a purely infinite simple  $C^*$ -algebra, and let  $p \in A$  be a nonzero projection such that  $[p] = 0$  in  $K_0(A)$ . Then there exists a unital homomorphism  $\mathcal{O}_2 \rightarrow pAp$ .

## Why pointwise outer actions? (continued)

Three main ingredients for classification without the group:

- 1  $\mathcal{O}_2 \otimes A \cong \mathcal{O}_2$  for  $A$  simple separable unital nuclear.
- 2  $\mathcal{O}_\infty \otimes A \cong A$  for  $A$  a Kirchberg algebra.
- 3 If  $A$  is purely infinite and  $p \in A$  is a nonzero projection such that  $[p] = 0$  in  $K_0(A)$ , then there is a unital homomorphism  $\mathcal{O}_2 \rightarrow pAp$ .

We want equivariant versions of these. Suppose we allow arbitrary actions. Taking the trivial action on  $A$  in (2) forces one to use the trivial action on  $\mathcal{O}_\infty$ . Taking a nontrivial action on  $A$  in (1) forces one to use a nontrivial action on  $\mathcal{O}_2$ . These choices make (3) impossible when  $A = \mathcal{O}_\infty$ .

The right condition on the action is pointwise outerness.

## The actions on $\mathcal{O}_2$ and on $\mathcal{O}_\infty$

Recall Kirchberg's absorption theorem for  $\mathcal{O}_2$ :  $\mathcal{O}_2 \otimes A \cong \mathcal{O}_2$  for  $A$  simple separable unital nuclear. We need an action  $\zeta: G \rightarrow \text{Aut}(\mathcal{O}_2)$  such that this isomorphism holds equivariantly whenever  $A$  is purely infinite simple and the action on  $A$  is pointwise outer. By Izumi, there is a unique action (up to conjugacy) of  $G$  on  $\mathcal{O}_2$  with the Rokhlin property. Since a tensor product action has the Rokhlin property if one factor does, we had better choose this action for  $\zeta$ .

The equivariant absorption theorem for  $\mathcal{O}_2$  follows immediately.

There is no action of  $G$  on  $\mathcal{O}_\infty$  which has the Rokhlin property. Instead, we use the action  $\iota: G \rightarrow \text{Aut}(\mathcal{O}_\infty)$  above. Recall that it is the quasifree action coming from the direct sum of infinitely many copies of the regular representation.

The equivariant absorption theorem for  $\mathcal{O}_\infty$  requires more work, but that is a subject for a different talk.

## Equivariant semiprojectivity

For short, a  $G$ -algebra  $(G, A, \alpha)$  is a  $C^*$ -algebra  $A$  together with a continuous action  $\alpha: G \rightarrow \text{Aut}(A)$ .

The following definition is the “right” way to get the property that approximately equivariant approximate homomorphisms are close to exactly equivariant true homomorphisms.

### Definition

Let  $G$  be a topological group, and let  $(G, A, \alpha)$  be a unital  $G$ -algebra. We say that  $(G, A, \alpha)$  (or  $A$ , or  $\alpha$ ) is *equivariantly semiprojective* if whenever  $(G, C, \gamma)$  is a  $G$ -algebra,  $J_0 \subset J_1 \subset \dots$  are  $G$ -invariant ideals in  $C$ ,  $J = \bigcup_{n=0}^{\infty} J_n$ , and  $\varphi: A \rightarrow C/J$  is a unital equivariant homomorphism, then there exists  $n$  and a unital equivariant homomorphism  $\psi: A \rightarrow C/J_n$  such that the composition

$$A \xrightarrow{\psi} C/J_n \longrightarrow C/J$$

is equal to  $\varphi$ .

(Diagram on next slide.)

## Equivariant semiprojectivity

$(G, A, \alpha)$  is equivariantly semiprojective if whenever  $(G, C, \gamma)$  is a  $G$ -algebra,  $J_0 \subset J_1 \subset \dots$  are  $G$ -invariant ideals in  $C$ ,  $J = \bigcup_{n=0}^{\infty} J_n$ , and  $\varphi: A \rightarrow C/J$  is unital equivariant, then there exists  $n$  and a unital equivariant  $\psi: A \rightarrow C/J_n$  such that the following diagram commutes:

$$\begin{array}{ccc} & & C \\ & & \downarrow \\ & & C/J_n \\ & \nearrow \psi & \downarrow \\ A & \xrightarrow{\varphi} & C/J \end{array}$$

Probably equivariant semiprojectivity is only interesting when  $G$  is compact, or perhaps even finite.

At least under good conditions, one has the equivariant analog of the usual relation between semiprojectivity and stable relations.

# What do we do with equivariant semiprojectivity?

One easy consequence, which we use, is:

## Theorem

Let  $G$  be a finite group. Let  $A$  be a unital Kirchberg algebra, let  $D$  be any unital  $C^*$ -algebra, and let  $\alpha: G \rightarrow \text{Aut}(A)$  and  $\beta: G \rightarrow \text{Aut}(D)$  be actions of  $G$  on  $A$  and  $D$ . Equip  $\mathcal{O}_\infty$  with the action  $\iota$  above (the quasifree action coming from the direct sum of infinitely many copies of the regular representation). Then any unital equivariant asymptotic morphism from  $\mathcal{O}_\infty$  to  $A \otimes D$  is asymptotically equal to a continuous path of unital equivariant homomorphisms.

Equivariant semiprojectivity is also needed (in a less obvious way) for:

## Theorem

Let  $A$ ,  $\alpha$ ,  $D$ ,  $\beta$ , and  $\iota$  be as in the previous theorem, and suppose  $\alpha$  is pointwise outer. Then any two unital equivariant asymptotic morphisms from  $\mathcal{O}_\infty$  to  $A \otimes D$  are equivariantly asymptotically unitarily equivalent.

# Equivariant semiprojectivity of finite dimensional $C^*$ -algebras

We need certain quasifree actions on Cuntz algebras to be equivariantly semiprojective. The hardest step is:

## Theorem

Let  $\alpha: G \rightarrow \text{Aut}(A)$  be an action of a compact group  $G$  on a finite dimensional  $C^*$ -algebra  $A$ . Then  $(G, A, \alpha)$  is equivariantly semiprojective.

We describe some ideas of the proof.

Rather than describing the lifting problem, we describe how to show that an approximately equivariant unital approximate homomorphism from  $A$  to some  $G$ -algebra  $B$  is close to an exactly equivariant unital true homomorphism.



## Finite dimensional $C^*$ -algebras (continued)

Let  $(G, A, \alpha)$  be a finite dimensional  $G$ -algebra, let  $(G, B, \beta)$  be a unital  $G$ -algebra, and let  $\varphi: A \rightarrow B$  be unital, approximately equivariant, and an approximate homomorphism. We want to find a nearby equivariant unital homomorphism  $\psi: A \rightarrow B$ .

The usual method is to “straighten out”  $\varphi$  step by step, using functional calculus. It seems not to be possible to get equivariance this way.

**Step 1:** Restrict  $\varphi$  to the unitary group  $U(A)$ . Its values are then nearly unitary, and hence at least invertible.

**Step 2:** Average over  $G$ . Let  $\mu$  be normalized Haar measure on  $G$ , and for  $u \in U(A)$  set

$$\sigma(u) = \int_G (\beta_g \circ \varphi \circ \alpha_g^{-1})(u) d\mu(g).$$

Then  $\sigma$  is exactly equivariant but is only approximately unitary and only approximately a group homomorphism. It is close to  $\varphi|_{U(A)}$ .

## Finite dimensional $C^*$ -algebras (continued)

So far, we have an exactly equivariant approximately unitary approximate group homomorphism  $\sigma: U(A) \rightarrow B$  which is close to  $\varphi|_{U(A)}$ .

**Step 3:** Set  $\rho_0(u) = \sigma(u)[\sigma(u)^*\sigma(u)]^{-1/2}$ . Then  $\rho_0: U(A) \rightarrow U(B)$  (its values are exactly unitary), and  $\rho$  is exactly equivariant and approximately a group homomorphism.

Steps 4 and 5 below (without the equivariance) have been independently discovered by Grove, Karcher, and Ruh (1972), and by Kazhdan (1982).

**Step 4(1):** Let  $\nu$  be normalized Haar measure on  $U(A)$ . For  $u \in U(A)$  set

$$\rho_1(u) = \rho_0(u) \exp \left( \int_{U(A)} \log \left( \rho_0(u)^* \rho_0(uw) \rho_0(w)^* \right) d\nu(w) \right).$$

Then  $\rho_1$  is still exactly unitary and exactly equivariant. It is still only approximately a group homomorphism, but (see below) the error is less than before. It is also close to  $\rho_0$ .

## Finite dimensional $C^*$ -algebras (continued)

**Step 4(1):** Let  $\nu$  be normalized Haar measure on  $U(A)$ . For  $u \in U(A)$  set

$$\rho_1(u) = \rho_0(u) \exp \left( \int_{U(A)} \log \left( \rho_0(u)^* \rho_0(uw) \rho_0(w)^* \right) d\nu(w) \right).$$

Then  $\rho_1$  is still exactly unitary and exactly equivariant. It is still only approximately a group homomorphism, but (see below) the error is less than before. It is also close to  $\rho_0$ .

**Step 4(n):** Given  $\rho_{n-1}$ , set

$$\rho_n(u) = \rho_{n-1}(u) \exp \left( \int_{U(A)} \log \left( \rho_{n-1}(u)^* \rho_{n-1}(uw) \rho_{n-1}(w)^* \right) d\nu(w) \right).$$

This is close to  $\rho_{n-1}$ . It is still exactly unitary and exactly equivariant, and is yet closer to being a true homomorphism.

## Finite dimensional $C^*$ -algebras (continued)

**Step 4(n):** Given  $\rho_{n-1}$ , set

$$\rho_n(u) = \rho_{n-1}(u) \exp \left( \int_{U(A)} \log \left( \rho_{n-1}(u)^* \rho_{n-1}(uw) \rho_{n-1}(w)^* \right) d\nu(w) \right).$$

**Step 5:** The maps  $\rho_n$  are exactly unitary and exactly equivariant. They form a Cauchy sequence, uniformly in  $u \in U(A)$ , and as  $n \rightarrow \infty$ , the errors  $\|\rho_n(uv) - \rho_n(u)\rho_n(v)\|$  converge uniformly to zero. (See the next slide.) Therefore  $\rho(u) = \lim_{n \rightarrow \infty} \rho_n(u)$  is an exactly equivariant homomorphism from  $U(A)$  to  $U(B)$ . Moreover,  $\rho$  is uniformly close to  $\varphi|_{U(A)}$ .

**Step 6:** Since  $\rho$  and  $\varphi|_{U(A)}$  are uniformly close, they are unitarily equivalent. It follows that  $\rho$  extends to a unital homomorphism from  $A$  to  $B$ . This is the equivariant homomorphism which is close to  $\varphi$ .

## Finite dimensional $C^*$ -algebras (continued)

Recall:

$$\rho_n(u) = \rho_{n-1}(u) \exp \left( \int_{U(A)} \log \left( \rho_{n-1}(u)^* \rho_{n-1}(uw) \rho_{n-1}(w)^* \right) d\nu(w) \right).$$

Why is  $\rho_n$  better than  $\rho_{n-1}$ ?

- One can check that if everything commutes, then  $\rho_n$  will in fact be exactly a homomorphism. (This comes from group cohomology.)
- There are constants  $C_1$  and  $C_2$  such that, if  $\|a\|, \|b\| \leq r$  with  $r$  small enough, then

$$\| \exp(a + b) - \exp(a) \exp(b) \| \leq C_1 r^2$$

and

$$\| \log((1 + a)(1 + b)) - (\log(1 + a) + \log(1 + b)) \| \leq C_2 r^2.$$

(The linear terms in the power series cancel out.)

- Even with these estimates, things must work out just right.

## Theorem

Let  $G$  be a finite group. Set  $d = \text{card}(G)$ , and label the generators of  $\mathcal{O}_d$  as  $s_g$  for  $g \in G$ . Then the quasifree action  $\beta_g(s_h) = s_{gh}$  is equivariantly semiprojective.

## Sketch of proof.

Let  $C$ ,  $J_n$ , and  $J$  be as before (so  $G$  acts on everything and  $J = \overline{\bigcup_{n=0}^{\infty} J_n}$ ), and let  $\varphi: \mathcal{O}_d \rightarrow C/J$  be unital and equivariant.

The elements  $s_g s_g^*$  generate a unital copy of  $C(G)$  in  $\mathcal{O}_d$ , on which  $G$  acts by translation. Choose  $n$  such that one can lift  $\varphi|_{C(G)}$  equivariantly to  $\psi_0: C(G) \rightarrow C/J_n$ . Increasing  $n$ , we may assume that  $\psi_0(s_1 s_1^*)$  is Murray-von Neumann equivalent to 1. That is, there exists  $t \in C/J_n$  such that  $t^* t = 1$  and  $t t^* = \psi_0(s_1 s_1^*)$ . Increasing  $n$  further and modifying  $t$ , we may assume its image in  $C/J$  is  $\varphi(s_1)$ . Set  $t_g = (\gamma_n)_g(t)$  for  $g \in G$ .

Equivariance of  $\psi_0$  implies that  $t_g t_g^* = \psi_0(s_g s_g^*)$  for all  $g \in G$ . Thus  $\sum_{g \in G} t_g t_g^* = 1$ . We can define an equivariant unital homomorphism  $\psi: \mathcal{O}_d \rightarrow C/J_n$  by  $\psi(s_g) = t_g$  for  $g \in G$ , and  $\psi$  lifts  $\varphi$ . □

# Equivariant semiprojectivity of further quasifree actions

## Theorem

Let  $G$  be a finite group. Set  $d = \text{card}(G)$ , and label the generators of  $\mathcal{O}_d$  as  $s_g$  for  $g \in G$ . Then the quasifree action  $\beta_g(s_h) = s_{gh}$  is equivariantly semiprojective.

One can use similar methods to get equivariant semiprojectivity for the quasifree action coming from the direct sum of finitely many copies of the regular representation of  $G$ , for the corresponding quasifree actions on the Cuntz-Toeplitz algebras, and, following Blackadar, for the quasifree action on  $\mathcal{O}_\infty$  coming from the direct sum of infinitely many copies of the regular representation of  $G$ .